

# Agenda – Y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau

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Lleoliad: I gael rhagor o wybodaeth cysylltwch a:  
**Committee Room 3** **Naomi Stocks**  
Dyddiad: Dydd Iau, 16 Chwefror 2017 Clerc y Pwyllgor  
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## Rhag-gyfarfod anffurfiol (09.00 – 09.15)

- 1 **Cyflwyniad, ymddiheuriadau, dirprwyon a datgan buddiannau**
- 2 **Craffu ar waith Ysgrifennydd y Cabinet dros Gymunedau a Phlant**  
(09.15 – 10.45) (Tudalennau 1 – 16)

Carl Sargeant AC, Ysgrifennydd y Cabinet dros Gymunedau a Phlant  
Jo-Anne Daniels, Cyfarwyddwr Cymunedau a Threchu Tlodi  
Amelia John, Dirprwy Gyfarwyddwr Yr Is-Adran Gymunedau

- 3 **Cynnig o dan Reol Sefydlog 17.42 (vi) i benderfynu gwahardd y cyhoedd o eitem 4**
- 4 **Craffu ar Ysgrifennydd y Cabinet dros Gymunedau a Phlant – trafod y dystiolaeth o dan eitem 2**  
(10.45 – 11.00)

## Egwyl (11.00 – 11.10)

- 5 **Bil yr Undebau Llafur (Cymru) – sesiwn dystiolaeth 2**  
(11.10 – 12.10) (Tudalennau 17 – 29)



- Steve Thomas, Prif Weithredwr, Cymdeithas Llywodraeth Leol Cymru
- Jonathan Lloyd, Pennaeth Cyflogaeth Cymdeithas Llywodraeth Leol Cymru,

## **Cinio (12.10 – 12.45)**

### **6 Bil yr Undebau Llafur (Cymru) – sesiwn dystiolaeth 3**

(12.45 – 13.45)

(Tudalennau 30 – 45)

- Dr Stephen Monaghan, Cadeirydd Cyngor Is-bwyllgor Deddfwriaeth Cymru, Cymdeithas Feddygol Prydain
- Andrew Cross, Ysgrifennydd Cynorthwyol, Cymdeithas Feddygol Prydain (Cymru)
- Peter Meredith-Smith, Cyfarwyddwr Cyswllt (Cysylltiadau Cyflogaeth)
- Lisa Turnbull, Cynghorydd Polisi a Materion Cyhoeddus, y Coleg Nyrsio Brenhinol
- Lien Watts, Ysgrifennydd Cyffredinol Cynorthwyol, Undeb y Gweithwyr Cymdeithasol

### **7 Bil yr Undebau Llafur (Cymru) – sesiwn dystiolaeth 4**

(13.45 – 14.45)

(Tudalennau 46 – 101)

- Margaret Thomas, Is-lywydd TUC Cymru
- Martin Mansfield, Ysgrifennydd Cyffredinol, TUC Cymru

### **8 Papurau i'w nodi**

**Gohebiaeth at Gomisiynydd Cenedlaethau'r Dyfodol Cymru yn dilyn y sesiwn graffu ar 2 Chwefror 2017**

(Tudalennau 102 – 104)

**Gwybodaeth bellach sy'n ymwneud â Clearsprings Ready Homes Ltd mewn perthynas â ffoaduriaid a cheiswyr lloches**

(Tudalennau 105 – 108)

**9 Cynnig o dan Reol Sefydlog 17.42 (vi) i benderfynu gwahardd y cyhoedd o weddill y cyfarfod**

**10 Bil yr Undebau Llafur (Cymru) – trafod y dystiolaeth a ddaeth i law o dan eitemau 5, 6 a 7**

(14.45 – 15.00)

Mae cyfyngiadau ar y ddogfen hon

## **Papur Tystiolaeth – y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau**

### **Cyflwyniad**

Diben y papur hwn yw darparu tystiolaeth i'r Pwyllgor, yn dilyn fy natganiad ar 11 Hydref 2016 ynghylch cymunedau cryf. Mae'r pwyllgor wedi gofyn am ragor o wybodaeth ac mae hefyd wedi gofyn am adolygiad o ymatebion y Gweinidog Cymunedau a Threchu Tlodi ar y pryd i Bwyllgor Cymunedau, Cydraddoldeb a Llywodraeth Leol y Pedwerydd Cynulliad ar gyfer y Bedwaredd Elfen o'i Ymchwiliad i Dlodi – (Dulliau o drechu tlodi yn y gymuned).

### **Cymunedau cryf**

Rwy'n gadarn o'r farn ei bod yn bryd cael dull newydd o adeiladu cymunedau cryf er mwyn hyrwyddo amcanion y Llywodraeth o sicrhau llesiant a ffyniant economaidd. Mae'r broses o greu cymunedau cryf yn gofyn am ddull o weithio ar draws y Llywodraeth. Yn fy marn i, cyflogaeth, y blynyddoedd cynnar, a grymuso yw elfennau hanfodol cymuned gref. Mae hyn yn golygu cymunedau sy'n barod ac yn abl i weithio; sy'n gallu cynnig y dechrau gorau mewn bywyd i blant, a chymunedau sydd wedi'u grymuso ac wedi'u hysgogi.

Cyflogaeth sy'n cynnig y ffordd orau a'r ffordd fwyaf cynaliadwy o ddianc rhag tlodi. Rydym eisoes wedi gwneud ymrwymiad mawr i raglenni Esgyn; Cymunedau am Waith; a Rhieni, Gofal Plant a Chyflogaeth. Rydym wedi ymrwymo hefyd i gynorthwyo teuluoedd sy'n gweithio, ledled Cymru, drwy gyfrwng ein cynnig gofal plant, gan helpu rhieni i ddychwelyd i'r gwaith neu gynyddu eu dewisiadau o ran cyflogaeth. Mae'r gwaith hwn yn rhan o ffocws ehangach Llywodraeth Cymru ar hyrwyddo cyflogaeth a chyflogadwyedd fel y disgrifiodd Ysgrifennydd y Cabinet dros yr Economi a'r Seilwaith mewn tystiolaeth a gyflwynwyd cyn iddo ymddangos gerbron y pwyllgor hwn ar 8 Chwefror 2017. Mae cyfrifoldebau sy'n rhan o bortffolio yr Economi a'r Seilwaith yn ganolog i'n dull o hyrwyddo ffyniant a threchu tlodi, gan gynnwys y ffocws ar swyddi o safon sy'n talu'n dda, sgiliau, seilwaith a chysylltedd.

Mae ein hymrwymiad i raglenni Dechrau'n Deg a Teuluoedd yn Gyntaf yn parhau, gan helpu mwy o blant difreintiedig i gael y dechrau gorau posibl mewn bywyd, ond credaf y gallwn wneud rhagor i greu cymunedau cryfach sy'n helpu i atal profiadau niweidiol yn ystod plentyndod a diogelu plant rhag eu heffaith. Dyma pam, yn fy natganiad ar gymunedau cryf, y gwahoddais sefydliadau i ymuno â ni i ddatblygu Parthau Plant i Gymru: asiantaethau sy'n cydweithredu i wella canlyniadau i blant a phobl ifanc.

Mae fy ngweledigaeth ar gyfer cymunedau sydd wedi'u grymuso a'u hysgogi yn adeiladu ar waith Ysgrifennydd y Cabinet dros Gyllid a Llywodraeth Leol i ddiwygio llywodraeth leol, gan sicrhau mwy o gyfranogiad yn y gymdeithas sifil ac mewn democratiaeth. Mae gan awdurdodau lleol a Byrddau Gwasanaethau Cyhoeddus rôl allweddol i'w chwarae a byddaf yn ymgysylltu â nhw, yn eu herio ac yn eu cynorthwyo i sicrhau eu bod yn gweithio tuag at ddiwallu anghenion a bodloni dyheadau pob un o'r cymunedau y maent yn eu gwasanaethu. Mae trafodaethau wedi'u cynnal â nifer o awdurdodau lleol gyda chymorth eu Byrddau Gwasanaethau Cyhoeddus, i ystyried sut y gallai mwy o hyblygrwydd ariannol eu galluogi i integreiddio'r ddarpariaeth o wasanaethau ymhellach er mwyn diwallu anghenion cymunedau'n well.

Yn sgil y ffocws newydd hwn, ymysg pethau eraill rwyf wedi gofyn ai Cymunedau yn Gyntaf yw'r ffordd orau o hyd o drechu tlodi a chyflawni ar gyfer cymunedau. O ganlyniad, ym mis Hydref 2016 nodais fy mod yn ystyried dirwyn y rhaglen i ben yn raddol. Yn y cyd-destun hwn, euthum ati i edrych o'r newydd ar sut y gall Llywodraeth Cymru gefnogi cymunedau cryf.

Roedd fy natganiad yn arwydd o ddechrau rhaglen eang o ymgysylltu â rhanddeiliaid mewnol ac allanol ynglŷn â'n dull o weithredu yn y dyfodol, gan gynnwys y cynnig i ddirwyn y rhaglen Cymunedau yn Gyntaf i ben yn raddol.

## **Y broses ymgysylltu hyd yma**

Ers mis Hydref, mae ein rhaglen ymgysylltu wedi cynnwys nifer o gyfleoedd i bob un o'n partneriaid sy'n ymwneud â chyflawni (Cyrff Cyflawni Arweiniol Cymunedau yn Gyntaf, timau cyflawni Clystyrau, a sefydliadau'r Trydydd Sector). Mae hyn wedi cynnwys cyfarfodydd â swyddogion a digwyddiadau y mae Cyngor Gweithredu Gwirfoddol Cymru (CGGC) wedi'u trefnu ar ein rhan. Rydym wedi mynd ati i annog unrhyw sefydliad sydd â buddiant i gymryd rhan yn y rhaglen ymgysylltu ac mae swyddogion wedi mynd i ystod eang o fforymau a chyfarfodydd.

Yn ychwanegol at ein harolwg ar-lein 'Trafod Cymunedau', a lanswyd ar 24 Hydref 2016 ac a gafodd dros 2000 o ymatebion, cynhaliwyd cyfarfodydd a digwyddiadau gyda'r cyhoedd, a drefnwyd ar ein rhan gan CGGC ac Ymchwil ARAD. Rydym hefyd wedi manteisio ar wybodaeth arbenigol CGGC i sicrhau bod y gwaith ymgysylltu wedi cynnwys trawstoriad eang o unigolion sydd â nodweddion gwarchoddedig. Mae hyn wedi cynnwys digwyddiadau a drefnwyd drwy sefydliadau'r Trydydd Sector i sicrhau ein bod yn cynnwys safbwyntiau grwpiau penodol. Mae mwy na 50 o unigolion neu sefydliadau wedi ysgrifennu ataf i gyflwyno'u safbwyntiau ynghylch Cymunedau yn Gyntaf ers imi wneud fy natganiad. Rydym wedi sicrhau bod eu hymatebion yn cael eu cynnwys gyda'r adborth a gafwyd fel rhan o'r rhaglen ymgysylltu.

Rydym wedi annog pob awdurdod lleol a Bwrdd Gwasanaethau Cyhoeddus i gyfrannu eu barn am ddyfodol Cymunedau yn Gyntaf a'r dull newydd arfaethedig o

ymdrin â chymunedau cryf. Mae cyfarfodydd a thrafodaethau wedi'u cynnal ledled Cymru.

Yn lleol, mae Cymunedau yn Gyntaf yn gweithio gydag ystod eang iawn o bartneriaid cyflawni ac rydym yn awyddus i ddeall effaith leol y broses bosibl o ddirwyn rhaglen i ben yn raddol. Mae pob Corff Cyflawni Arweiniol wedi cwblhau asesiadau manwl o'r effeithiau yn eu hardaloedd hwy.

## **Y goblygiadau i Raglenni eraill Llywodraeth Cymru**

Mae Esgyn a Cymunedau am Waith yn gweithredu ar sail yr un ffiniau daearyddol â'r rhaglen Cymunedau yn Gyntaf ar hyn o bryd, ac yn aml mae'r rhaglenni hyn yn rhannu'r un rheolwyr a seilwaith. Rwyf wedi ei gwneud yn glir fy mod wedi ymrwymo i ddyfodol Cymunedau am Waith ac Esgyn, yn annibynnol ar unrhyw benderfyniad ynghylch Cymunedau yn Gyntaf. Yn ddiweddar, cyhoeddais y byddai rhaglen Cymunedau am Waith yn cael ei hystyried hyd 2020 ac rwy'n ystyried a yw'n briodol ymestyn rhaglen Esgyn yn yr un modd. Byddaf yn ystyried pa drefniadau eraill sydd eu hangen i gefnogi Cymunedau am Waith ac Esgyn os bydd y rhaglen Cymunedau yn Gyntaf yn cael ei dirwyn i ben yn raddol. Rwy'n hyderus y bydd y rhaglenni hyn yn parhau i fod yn effeithiol; mae cysylltiadau cryf rhwng Cymunedau am Waith ac Esgyn a gwasanaethau ehangach, gan gynnwys rhaglenni ehangach awdurdodau lleol ym maes cymorth cyflogaeth, ac mae cyfleoedd i integreiddio a chydgyssylltu â gwasanaethau priif ffrwd, fel y gwasanaeth iechyd, i raddau mwy.

Drwy ein proses ymgysylltu, rydym wedi ystyried graddau'r gyd-ddibyniaeth rhwng Cymunedau am Waith ac Esgyn, a'r rhaglen Cymunedau yn Gyntaf. Mae'n deg dweud bod y cyd-ddibyniaethau'n amrywio'n fawr fesul clwstwr. Ym mwyafrif yr ardaloedd cyflawni, mae'r Rhaglenni wedi mynd ati'n effeithiol i ddefnyddio'r llwyfan y mae Clystyrau Cymunedau yn Gyntaf yn ei gynnig. Mae dibyniaeth y Rhaglenni ar y Clystyrau o ran hyrwyddo, atgyfeirio ac ymgysylltu wedi'i hadlewyrchu mewn ardaloedd cyflawni i wahanol raddau, a lefel yr adnoddau sydd ar gael yn yr ardal yw'r dylanwad pennaf yn hyn o beth.

Ceir cysylltiadau clos â rhaglenni trechu tlodi eraill fel Teuluoedd yn Gyntaf, Dechrau'n Deg, a Cefnogi Pobl. Mae rhai rhaglenni eraill sy'n gweithredu'n annibynnol ond sy'n defnyddio ôl-troed Cymunedau yn Gyntaf i ganolbwyntio'u gweithgareddau, er enghraifft Cyfuno, sydd, yn sgil adroddiad y Farwnes Andrews sy'n dwyn y teitl "Diwylliant a Thlodi", yn canolbwyntio ar sicrhau bod mwy o breswylwyr cymunedau difreintiedig yn cymryd rhan mewn gweithgareddau ym maes treftadaeth a diwylliant. Mae'n werth nodi, os bydd y rhaglen Cyfuno yn cael ei hystyried y tu hwnt i 31 Mawrth 2017, fod swyddogion yn ystyried dulliau eraill o gyflawni nad yw terfynau Cymunedau yn Gyntaf yn cyfyngu arnynt.

Mae Cymunedau yn Gyntaf, Teuluoedd yn Gyntaf, Dechrau'n Deg a Cefnogi Pobl i gyd yn rhaglenni ar wahân, ond yn aml yr un cyfranogwyr a chanlyniadau a dargedir. Oherwydd hynny, bu swyddogion yn gweithio ar sicrhau bod y rhaglenni wedi'u cysoni â'i gilydd i raddau llawer mwy. Mae'r graddau y mae'r rhaglenni wedi'u cysoni

â'i gilydd mewn ardaloedd awdurdodau lleol gwahanol, a'u cyd-ddibyniaeth, yn amrywio'n fawr. Mewn nifer o ardaloedd awdurdodau lleol, er enghraifft, un cynllun cyflawni sydd. Mewn ardaloedd eraill, nid yw'r rhaglenni wedi'u cysoni â'i gilydd fawr ddim o hyd, neu mae'r cysoni'n gyfyngedig i lwybrau atgyfeirio rhwng rhaglenni, cydleoli, a chydweithredu ar weithgareddau penodol.

Nid yw Cymunedau yn Gyntaf yn darparu cyllid cyfalaf ac felly nid yw'n darparu cyllid ar gyfer adeiladau cymunedol yn uniongyrchol. Unwaith eto, mae rhan o'r broses ymgysylltu wedi canolbwyntio ar yr effaith ar adeiladau cymunedol. Drwy'r gwaith hwn, rydym yn cydnabod bod hyfywedd nifer o adeiladau cymunedol yn rhannol ddibynol ar y rhaglen Cymunedau yn Gyntaf ar hyn o bryd, ac mewn rhai achosion mae'n ddibynol iawn arni. Yn aml, mae'r adeiladau hyn yn bwysig i'r cymunedau y maent yn eu gwasanaethu.

Os penderfynir dirwyn y rhaglen Cymunedau yn Gyntaf i ben, byddai dull o weithredu fesul camau yn rhoi amser i bartneriaid lleol ddod o hyd i ffynonellau cymorth eraill fel rhan o asesiad ehangach o'r dull gorau o ddefnyddio asedau'r sector cyhoeddus a'r cyfleoedd ar gyfer cydleoli gwasanaethau.

### **Effeithiau dileu'r rhaglen yn raddol**

Bu'r nod o ddeall effaith bosibl dirwyn rhaglen Cymunedau yn Gyntaf i ben yn rhan ganolog o'r broses ymgysylltu. Fel yr amlinellir uchod, mae hyn yn cynnwys rhaglenni eraill ac adeiladau ac mae wedi cynnwys defnyddwyr gwasanaethau Cymunedau yn Gyntaf a'i staff.

Fel y nodais eisoes, yn genedlaethol rydym wedi annog unrhyw sefydliad sydd â buddiant i gymryd rhan yn y rhaglen ymgysylltu er mwyn inni allu deall yn well yr effaith y bydd unrhyw newidiadau posibl i raglen Cymunedau yn Gyntaf neu ddod â'r rhaglen i ben yn ei chael arnynt hwy.

### **Y camau nesaf**

Bu'r broses ymgysylltu'n mynd rhagddi dros fwy na 12 wythnos gan ddarparu gwybodaeth a safbwyntiau defnyddiol iawn inni eu dadansoddi. Wrth i ddechrau'r flwyddyn ariannol agosáu, mae'n bwysig bod rhanddeiliaid, yn arbennig y rhai sy'n defnyddio gwasanaethau Cymunedau yn Gyntaf a phartneriaid cyflawni, yn cael gwybod am ddyfodol y rhaglen cyn gynted ag y bo modd.

Daeth cam cyntaf y rhaglen ymgysylltu i ben ar 15 Ionawr 2016. Er bod y cam hwn yng nghyd-destun y dull newydd ehangach o weithredu yng nghyswllt cymunedau cryf, roedd yn canolbwyntio ar ddyfodol Cymunedau yn Gyntaf. Mae'r ail gam yn parhau i ganolbwyntio ar ddull Llywodraeth Cymru o greu cymunedau cryf yn y dyfodol. Hoffem barhau i ymgysylltu â chymunedau ledled Cymru, cyrff cyhoeddus, y trydydd sector a'r sector preifat ynglŷn â'r ffordd fwyaf effeithiol o rymuso a chynorthwyo cymunedau i fod yn gryf ac yn gydnerth.



## **Adolygiad o ymatebion y Gweinidog Cymunedau a Threchu Tlodi ar y pryd i Bwyllgor Cymunedau, Cydraddoldeb a Llywodraeth Leol y Pedwerydd Cynulliad ar gyfer y Bedwaredd Elfen o'i Ymchwiliad i Dlodi - (Dulliau o drechu tlodi yn y gymuned).**

Wrth gyflwyno ei hymatebion, nododd y Gweinidog Cymunedau a Threchu Tlodi fod trechu tlodi yn flaenoriaeth sylfaenol i Lywodraeth Cymru, wrth i anghenion aelwydydd sydd ag incwm isel gael eu hadlewyrchu mewn polisïau a rhaglenni ar draws portffolios. Mynnodd gred Llywodraeth Cymru mai'r dull gorau o drechu tlodi oedd drwy gymysgedd o raglenni ar gyfer manau penodol a rhaglenni nad ydynt yn ymwneud â manau penodol. Mae'r dull hwn, a gymeradwywyd gan y Grŵp Cynghori Allanol ar Drechu Tlodi, ar y pryd, ac a adlewyrchir yn yr ystod o raglenni y mae Llywodraeth Cymru yn eu hariannu, yn ein galluogi i gyrraedd mwy o bobl y mae angen cymorth arnynt, mae'n rhoi'r cyfle gorau inni wella canlyniadau iddynt, ac mae'n helpu i gynhyrchu'r gwerth mwyaf o'r adnoddau sydd ar gael.

Mae Llywodraeth Cymru yn dal i gydnabod bod angen cymysgedd o raglenni: y rhai sy'n ymwneud â manau penodol a'r rhai sydd ar gael ledled Cymru. Mae gan Cymunedau yn Gyntaf; Esgyn; Cymunedau am Waith; a Rhieni, Gofal Plant a Chyflogaeth ganolbwynt daearyddol, sy'n eu galluogi i dargedu rhai ardaloedd sydd â lefelau uchel o anweithgarwch economaidd a diweithdra, ond nid y cyfan ohonynt. Mae canolbwynt daearyddol hefyd i raglenni cyfalaf, fel Lleoedd Llewyrchus Llawn Addewid, sy'n ceisio adfywio cymunedau. Ar y llaw arall, mae Teuluoedd yn Gyntaf, Cefnogi Pobl, a'r Grant Amddifadedd Disgyblion yn canolbwyntio ar boblogaethau penodol.

Rydym wedi gwneud ymrwymiad mawr i raglenni Esgyn a Cymunedau am Waith, a fydd yn parhau yn unol â'r bwriad. Mae Dechrau'n Deg a Teuluoedd yn Gyntaf yn parhau i gynorthwyo plant difreintiedig i gael y dechrau gorau posibl mewn bywyd; rydym wedi diogelu cyllideb Cefnogi Pobl ar gyfer 2017 ac rydym wedi ymestyn y Grant Amddifadedd Disgyblion.

Yn ei hymateb, aeth y Gweinidog Cymunedau a Threchu Tlodi yn ei blaen i ddisgrifio llwyddiannau rhaglenni allweddol, gan gynnwys Cymunedau yn Gyntaf. Yn fy natganiad, roeddwn innau'n awyddus i dalu teyrnged i weithlu Cymunedau yn Gyntaf a chydabod y cymorth y mae'r rhaglen wedi'i ddarparu i unigolion yn rhai o'n cymunedau mwyaf difreintiedig. Fodd bynnag, roeddwn hefyd yn cydnabod bod yr oes wedi newid ers i'r rhaglen gychwyn bymtheng mlynedd yn ôl, a bod ein cymunedau'n wynebu heriau newydd erbyn hyn. O ganlyniad, gofynnais ai Cymunedau yn Gyntaf oedd y ffordd orau o hyd o fynd at wraidd tlodi a chyflawni ar gyfer cymunedau, a nodais fy mod yn ystyried dirwyn y rhaglen i ben yn raddol, yn amodol ar broses ymgysylltu'n eang cyn gwneud penderfyniad terfynol.

Disgrifiodd y Gweinidog Cymunedau a Threchu Tlodi ddull Llywodraeth Cymru o drechu tlodi, gan gydnabod mai cyflogaeth oedd y llwybr gorau allan o dlodi a phwysigrwydd enfawr buddsoddi yn y blynyddoedd cynnar. Mae'r themâu hyn yn rhan ganolog o'm gweledigaeth ar gyfer ein dull newydd o weithredu yng nghyswllt

cymunedau cryf, fel y nodwyd yn fy natganiad ar 11 Hydref, ac fel y dangosir yn ein hymrwymiad parhaus i raglenni Cymunedau am Waith; Rhieni, Gofal Plant a Chyflogaeth; Esgyn; Teuluoedd yn Gyntaf; a Dechrau'n Deg.

Mae cyfyngiadau ar y ddogfen hon

## **Equality, Local Government and Communities Committee Inquiry into Trade Union (Wales) Bill**

### **Submission on behalf of the Welsh Local Government Association**

#### **Introduction**

1. The Welsh Local Government Association (WLGA) represents the 22 local authorities in Wales, and the three national park authorities and three fire and rescue authorities are associate members. The Association seeks to provide representation to local authorities within an emerging policy framework that satisfies the key priorities of our members and delivers a broad range of services that add value to Welsh local government and the communities they serve.

#### **Background**

2. The WLGA and Welsh local authorities have a long-standing and mature working relationship with the recognised trade unions that developed further since devolution. All the key terms and conditions of employment within local government have been arrived at through national collective agreements and local negotiated agreements with the trades unions.
3. The WLGA has supported and embraced the concept of social partnership which has helped steer local government through severe financial difficulties. We strive wherever possible to seek consensus, although there are clearly occasions where employers and unions take a difference stance. For example, the use of third sector bodies or cooperatives in terms of delivering public services has been an area of disagreement in recent years. Nevertheless, we firmly recognise as employers that engaging with the workforce through the recognised trades unions played a significant part in ensuring that service continuity has been at the heart of some difficult decisions and fulfilling the Welsh Government's strategic aim of having citizen-centred services.
4. Industrial action within local government in Wales has been minimal over the past 10 years despite the backdrop of austerity, service efficiencies and a reducing workforce. Those that have occurred have been mainly instigated at a national level and in some circumstances the impact has been mitigated in Wales through a 'Welsh way' of dialogue and discussion, most notably with Teachers around the issue of 'Observation', in which the WLGA played a negotiating role.

#### **The Role of the Trades Unions**

5. The WLGA respects the role of trade unions and its members and understands that it can play a positive role in supporting the workforce. The public face of trades unions is often seen as confrontational and being in constant disagreement, which is often symptomatic of an escalated industrial dispute. Whilst it is acknowledged that employers and trades unions do not agree on everything and disputes do occur, we believe strongly that there are many positive sides in working in partnership with Trades unions .
- a. **Health and Safety and Well-being.** The trades unions have a shared agenda with employers around the health and well-being of the workforce. Regulations in the form of The Safety Representatives and Safety Committees Regulations 1977 and the Health and Safety (Consultation with Employees) Regulations 1996 require employers to engage with their workforce on health and safety matters. Local government in Wales has often gone beyond this requirement and joined with trades unions to promote good health and safety and well-being. Undertaking health fayres, promoting specific health initiatives and generally supporting good health.
  - b. **Learning and Development.** Welsh local government has worked with the Wales TUC to support Union Learning Representatives who help their members acquire essential/ core skills that helps them in work and life. Welsh local government has worked particularly closely with the Wales TUC to develop an e-learning hub called All Wales Academy for Local Government.
  - c. **Pastoral care and Support.** The trades unions provide a range of pastoral supports and services that can assist employees who are members of a trade union. These can include a range of financial and legal services, health plans and support. These can have a positive impact on staff that enable them to continue in work and provide excellent public services.
  - d. **Equalities** – The trades union have another shared agenda in promoting equality and diversity and avoiding discrimination in the workplace.
  - e. **Advocacy & Representation** – there will be occasions when individuals or groups will be subject to employment processes that may affect their continued employment status. These may include discipline, redundancy and redeployment, performance management issues or retirement. Local government accepts that these individuals may require support and representation at a such a difficult time. Trades unions can assist the individual through the process, provide clarity on issues and often make these processes quicker and expedient.

## Social Partnership

6. The Welsh Government is committed to the concept of social partnership as set out in 'Working Together for Wales- A Strategic Framework for Public Service in Wales.'. Welsh local government is a key stakeholder in the arrangements that support this. Welsh local Government forms part of

the Workforce Partnership Council (WPC), which is a tripartite forum involving Government; Trades Unions and public sector employers. It also abides by the principles contained in the WPC's 'Partnership and Managing Change Agreement (2012)'

7. The WLGA has actively supported the 'Creating Successful Partnerships' programme and delivered the programme in a number of authorities.
8. Welsh local government continues to engage with trades unions at an all-Wales level and supports the Joint Council for Wales. This is a joint trade union and employer's forum that provides a useful vehicle to share new and emerging issues that impact on local government; seek to provide agreed solutions to national issues; share good practice and resolve disputes should they arise.

### **Dispute Avoidance and Resolution**

9. There will be occasions when disagreements will arise between local government employers and the trades unions. Mechanisms are in place to seek to resolve issues before formal disputes occur. Engagement at the earliest opportunity between employers and trades unions can help alleviate the need for disputes and avoid disputes escalating into industrial action.
10. In the event of formal disputes developing there is an expectation enshrined in ACAS codes that the parties should exhaust all 'local' procedures for dispute resolution prior to referral to ACAS or Employment Tribunal.
11. Welsh local government has a range of dispute resolution processes to help deal with individual and collective disputes.

### **The Trades Union (Wales) Bill**

12. The following comments are provided specifically in relation to those elements of the proposed Trades Union (Wales) Bill:

#### **Ballot Thresholds for 'Important Public Services'**

13. The WLGA does not recognise the distinction between 'health, education, fire and transport service' and the other services provided by local authorities. Waste collection, Social Care, regulatory service and others are all important public services. The WLGA does not recognise the need to differentiate between these services for ballot purposes.

#### **Paid facility Time and Publication of facility Time**

14. The Welsh local government position on facility time has been that reasonable time off with pay should be available for trade union activities. This has been stated a number of times in the Joint Council for Wales.

15. It is recognised that different authorities have differing facility agreements. These are based on a range of factors and features relevant to that authority and will include: -
  - Size of workforce
  - Geographical area
  - Budget Constraints on supporting arrangements
  - Transformation and change management Programmes
16. Local authorities should be free to develop a facilities agreement that best suits the needs of the authority. These facilities agreements are revisited periodically to ensure they are fit for purpose.
17. The WLGA supports the notion of localism and does not support a top down, one size fits all approach that would impose requirements on individual local authorities.
18. The WLGA does not see the benefit in the wholesale publication of facility time agreements. As alluded to above each local authority will have its own factors and features that will dictate the characteristics of its facilities agreement. Without a thorough understanding and insight into the issues and challenges that authority is facing, some of which will be confidential and sensitive, it would be impossible to compare on a fair and consistent basis, and therefore it will not be in the public interest. Internal processes such as annual budgeting and review of HR and corporate policies provides, together with scrutiny arrangements provides robust opportunity to ensure that facility agreements are fair and reasonable in the context of each authority.

#### **Deduction of Union Subscriptions from Wages (check-off)**

19. There has been a longstanding arrangement for authorities to deduct union subscriptions through payroll processes. Since the UK Governments Trade Union Act was being considered oral evidence from authorities suggested that where this was happening Service Level Agreements were in place that ensured authorities were recompensed for undertaking this.
20. We understand that as a result of the proposed legislation a number of trade unions have opted to deduct their subscriptions direct through direct debit. In view of this the WLGA will seek to establish a more up-to-date picture on check -off arrangements.
21. There are also some advantages to local authorities of assisting trades unions with subscription collection. Apart from any recompense they receive it does also allow local authorities to have an appreciation of union membership and density. It assists with understanding level of turnout and voting in the event of a ballot being undertaken. This can also be used to inform the facility time agreement if membership levels change.

22. At another level it allows individual staff who are trade union members to be quickly and appropriately signposted to their trades union for help, support, advocacy and representation if necessary. Having this understanding can save time and resources.

## Conclusion

23. At the meeting of the WLGA Council in September 2015 it resolved (by majority of 21:1) to oppose the UK Government Trade Union Bill. As part of the debate they determined that the measures in the then Bill were disproportionate and they would undermine relationships between employers and the staff.
24. With the publication of the new White Paper on Local government it is the case that councils in Wales are due to embark on a significant journey. The White Paper recognises that "the local government workforce is an essential part of these proposals and the Welsh Government will consider, through the Workforce Partnership Council, how to support the transition over to the new arrangements, using statutory guidance where necessary". The employers recognise that in any major change programme, particularly in the context of recent years, it is vital to take the workforce with you and fully engage with their representative bodies. The trades unions provide an essential vehicle to ensuring that the workforce is represented and engaged in this change process over the coming years.
25. The evidence presented above supports the proposal to bring forward the Trade Union (Wales) Act that will help ensure that the mature and effective relationships that have been developed between employers and trades unions in local government continue to help improve public services in Wales.

Welsh Local Government Association

3<sup>rd</sup> February 2017

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# Eitem 6

Yn rhinwedd paragraff(au) vi o Reol Sefydlog 17.42

Mae cyfyngiadau ar y ddogfen hon

Y Y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau /  
Equality, Local Government and Communities Committee  
ELGC(5)–06–17 Papur 3/ Paper 3

**TRADE UNION (WALES) BILL – GENERAL PRINCIPLES**

**Consultation by the National Assembly for Wales Equality, Local Government and Communities Committee**

**Response from BMA Cymru Wales**

6 February 2017

**INTRODUCTION**

BMA Cymru Wales is pleased to provide a response to the Stage 1 consultation by the Equality, Local Government and Communities Committee into the general principles of the Trade Union (Wales) Bill.

The British Medical Association (BMA) is an independent professional association and trade union representing doctors and medical students from all branches of medicine all over the UK and supporting them to deliver the highest standards of patient care. We have a membership of over 160,000, which continues to grow every year. BMA Cymru Wales represents almost 8,000 members in Wales from every branch of the medical profession.

**RESPONSE**

BMA Cymru Wales welcomes the purposes of the Bill which are to dis-apply certain aspects of the UK Government’s Trade Union Act 2016 in relation to devolved Welsh public services, including the NHS in Wales.

In relation to each of the three provisions which it is proposed should be dis-applied, we would comment as follows:

*The 40% ballot threshold for industrial action affecting important public services*

BMA Cymru Wales is fully supportive of this aspect of the Bill which seeks to dis-apply the additional 40% support threshold that is to be placed upon industrial action ballots in relation to public services classified as ‘important’, on top of the requirement for a 50% turnout.

This would mean that before any industrial action could go ahead, 40% of union members entitled to vote would have to vote in favour, and not just a simple majority of those taking part in the ballot. If a union were to achieve a 50% response rate to a ballot, then 80% of those who voted (i.e. 40% of the entire eligible membership) would need to vote in favour of strike action in order for it to be legal. This seems to us to be an arbitrarily high threshold.

**Cyfarwyddwr cenedlaethol (Cymru)/National director (Wales):**

Rachel Podolak

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Swyddfa gofrestrredig: BMA House, Tavistock Square, Llundain, WC1H 9JP.  
Rhestrwyd yn Undeb Llafur o dan Ddeddf Undebau Llafur a Chysylltiadau Llafur 1974.  
Registered as a Company limited by Guarantee. Registered No. 8848 England.  
Registered office: BMA House, Tavistock Square, London, WC1H 9JP.  
Listed as a Trade Union under the Trade Union and Labour Relations Act 1974.

In responding to the UK Government proposals when they were introduced in the UK Parliament, the BMA described these particular proposals as unnecessary, inappropriate and unfounded. We still hold this view.

The logic behind the 40% threshold seems questionable as it implies that the current situation leads to illegitimate industrial action. When a majority of voting members must support an action (as is currently the case), the introduction of a 40% threshold of all members assumes that all those who abstain from voting, would vote 'no'. There is no basis for such an assumption. In fact, there is no evidence to suggest that those who vote are unrepresentative of the membership body as a whole.

#### *Powers to require the publication of information on facility time and to impose requirements on public sector employers in relation to paid facility time*

BMA Cymru Wales is fully supportive of this aim of the Bill. We are concerned that the proposals it seeks to dis-apply could be used to restrict the ability of unions to represent their members on a range of issues, such as health and safety and workplace representation. This is something we believe would be an unnecessary and unfair intrusion into the activities of trade unions that are legitimately representing the rights of their members.

Should these provisions not be dis-applied as is proposed, then this could impact on BMA members who are elected as trade union representatives onto the Local Negotiating Committees (LNCs) which have been established in each local health board and NHS trust in Wales.

LNC members are currently able to fulfil what we see as a vital trade union function of representing other BMA members, both individually and on a collective basis, whilst receiving paid time off to do so. In practical terms this means that doctors working in hospitals or public health medicine as consultants – or as staff, associate specialists or specialty doctors – are able to secure agreement for appropriate time off within the existing job planning processes in order to be able to perform such roles. In the case of junior doctors serving on LNCs, it means they are able to agree appropriate time off with their supervising consultant.

We feel it would be hugely detrimental if such arrangements were to be prevented from continuing.

#### *Restrictions on deduction of union subscriptions from wages by employers*

Whilst the BMA has for many years operated a largely direct debit system for collecting subscriptions directly from its members, we would nevertheless support the principle that employers should be able to freely negotiate agreements with trade unions to collect member subscriptions by way of deductions from wages.

We are therefore also fully supportive of this aspect of the Bill.

#### **In conclusion**

The BMA view of the UK Government's Trade Union Act 2016 is that it risks diminishing not only the important role trade unions play in the workplace, but also their legitimate right and need to represent their members' interests. The imposition of the tighter restrictions on trade unions which the Act introduces may have the inadvertent effect of prolonging workplace disputes, thereby making it more difficult to resolve disputes amicably. The Act also undermines workers' rights to representation and their right to express an opinion through industrial action, which is taken as a last resort. We would always prefer to negotiate for a solution that is fair to both employees and employers.

In view of this, BMA Cymru Wales commends the proposals which are being put forward by the Welsh Government through the Trade Union (Wales) Bill, which seek to dis-apply three key aspects of the Trade

Union Act 2016 as they would apply to devolved public services in Wales. We would therefore urge Assembly Members to fully support these proposals.

It is also worth noting that we have additionally expressed our support, through our response to a recent consultation,<sup>1</sup> to the stated intent of the Welsh Government to undertake steps which would retain the status quo in relation to devolved Welsh public services should the UK Government proceed with plans to rescind the regulations that currently prohibit employment businesses from providing agency workers to cover the duties normally performed by employees when taking part in industrial action, or to cover the work of employees who are covering the duties of other employees whilst they are taking part in industrial action.

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<sup>1</sup> <https://www.bma.org.uk/-/media/files/pdfs/collective%20voice/influence/uk%20governments/agency-workers-during-strikes-consultation-wales.pdf?la=en>

## **TRADE UNION (WALES) BILL**

### **RCN Wales briefing for the Equality, Local Government and Communities Committee of the National Assembly for Wales**

#### **Preamble**

1. This briefing has been prepared by the Royal College of Nursing in Wales (RCN Wales), in advance of an evidence session being held by the Equality, Local Government and Communities Committee of the National Assembly for Wales, which is scheduled for 16<sup>th</sup> February 2017. The following representatives of RCN Wales will be available at the session to give oral evidence to the committee:
  - Peter Meredith-Smith, Associate Director (Employment Relations), RCN Wales
  - Lisa Turnbull, Policy and Public Affairs Advisor, RCN Wales

#### **The Royal College of Nursing**

2. With a membership of 425,000 registered nurses, midwives, health visitors, nursing students and health care support workers, the Royal College of Nursing (RCN) is the voice of nursing across the UK, including within Wales where it has approximately 25,000 members. The size and breadth of its membership makes the RCN the largest professional union of nursing staff in the world. Our members work in all settings where healthcare is delivered, including hospitals and the community and within both NHS and independent healthcare settings.
3. The RCN promotes patient, health service and nursing interests on a wide range of issues in Wales. It achieves this by working constructively with the Welsh Government, all the political parties represented within the National Assembly for Wales, NHS and independent healthcare sector managers, voluntary organisations, professional bodies, other trade unions and any organisations that have an interest in protecting and improving our health services in Wales.
4. We are full members of the Welsh Partnership Forum (the joint Welsh Government, NHS Staff Side and NHS Management national partnership forum for NHS Wales), and currently hold the staff side chair of the forum. Although we work closely with the Wales TUC we are independent of it. We are a party politically neutral organisation, willing to work constructively with the representatives and officials of

any political party in Wales that has secured a legitimate mandate from the people of Wales through democratic process.

5. Although the use of industrial action is not precluded by the RCN, we have the reputation and a long history of avoiding the need for such action, achieving our aims and resolving workplace conflict within the health services within which we represent our members through productive partnership working with government officials and health service managers.
6. This context and history puts the RCN in Wales in a unique position to respond to the committee's evidence gathering in relation to the Trade Union (Wales) Bill.

### **The Trades Union Act (2016)**

7. The RCN, including RCN Wales, considers the Trade Union Act (2016) as unnecessary legislation. The legislation angers its members, who strongly object to it. We are of the view that the legislation has the potential to seriously undermine the morale of frontline health service staff. The new law undermines legitimate and productive partnership working within the NHS (partnership working that has been particularly effective in Wales since the advent of the National Assembly). It also undermines the long established right of workers to withdraw their labour at times of serious and legitimate dispute between employers and employees, and it fails to recognise the role that effectively functioning trade unions have in the delivery of productive and effective public services.
8. Throughout the process of the development of the Trade Union Act (2016) at Westminster, the RCN strongly opposed it at every stage. This opposition was driven by the strength of feeling of RCN members, including those in Wales, who are working on the front line of our hard-pressed health services.
9. RCN Wales' opposition to the legislation reflected the position of the Welsh Government, NHS Wales managers and other trade unions in Wales that represent the interests of staff working in healthcare, all of whom recognised the lack of necessity for such legislation. This strength of feeling in Wales was seemingly ignored by the Westminster government, which passed a law that demonstrates a disregard for the legitimate right of the National Assembly for Wales and the Welsh Government to oversee the effective delivery of public services in Wales, including our health services.

### **The Trade Union (Wales) Bill**

10. The RCN in Wales fully supports the efforts of the Welsh Government to pass legislation in Wales that seeks to reverse the effects of the

Trade Union Act (2016) in Wales, inasmuch as they apply to the delivery of our public services here, especially our health services.

11. We fully support the government's intention to reverse three specific aspects of the 2016 legislation (i.e. ballot thresholds for industrial action, the collection of trade union subscriptions and release time for employees to undertake trade union activities). Since the advent of the National Assembly for Wales, there have been no issues of dispute between NHS Wales employers and the trade unions regarding the these matters.
12. The legislation that has been made in England is not necessary for Wales and has undermined the balance between workers' rights and the rights of the public to receive safe and effective health services in Wales. Regarding the latter issue, there has never in Wales been a situation whereby NHS service safety has been compromised as a consequence of any trade union action taken by the RCN or its members. Effective "life and limb" protection arrangements exist in Wales that ensure that patient safety is never compromised during trade union action.
13. Restoring the rights of health service workers in Wales that have been stripped by the Trade Union Act (2016) will send a clear message to frontline NHS staff that the National Assembly for Wales recognises; (a) the lack of necessity for Wales of such draconian legislation, and (b) the effectiveness to date of the social partnership approach to running our public services in Wales.
14. Reversing the effects of the Trade Union Act (2016) will also have the crucial effect of maintaining and strengthening the productive spirit and culture of partnership working in Wales that has been so fundamental to the management and delivery of NHS services in Wales through extremely challenging times in recent years.
15. There is no doubt that the significant challenges facing our health services in Wales will continue into the foreseeable future. Given a continuance of the culture of constructive and effective employment relations across the NHS that is our tradition in Wales, the RCN has no doubt that all parties, that have responsibility to meet these challenges, will continue to work together effectively to ensure that our health services are delivered safely and to best effect for the citizens of Wales. The development of legislation for Wales that reverses those elements of the Trades Union Act (2016) that apply to the delivery of our public services in Wales is seen by the RCN as essential to this end.

**2<sup>nd</sup> February 2017**



Y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau  
Equality, Local Government and Communities Committee  
ELGC(5)–06–17 Papur 5/ Paper 5

**Response to the Enquiry by The Welsh Assembly into the proposed Trade Union (Wales) Bill**

February, 2017

**Introduction**

The Social Workers' Union (SWU) represents social workers throughout the four nations of the UK. It was originally set up as an 'arms-length' trade union by the British Association of Social Workers (BASW) social work's professional association, which has offices in Wales, Scotland and Northern Ireland as well as its Head Office in England. SWU was certified as an Independent Trade Union in June 2011 and has grown significantly in membership and influence since that time.

I am the Assistant General Secretary of The Social Workers' Union and I am authorised by their Executive Committee to address this enquiry on their behalf.

**Background**

When the UK government first introduced proposals for the Trade Union Act, 2016 it provoked an almost unprecedented public outcry. It was seen as a blatant attack on Trade Unions; an attempt to diminish their 'power', as well as an only slightly more subtle attack on the Labour party and, in particular, its' funding. However, it was not just the trade union movement or Labour Party supporters who were opposed to it. Highly vocal opinions came from human rights organisations, public sector employers and politicians of all parties, who felt it was an attack not only on an important part of civil society, but also on ordinary, otherwise non-militant workers' ability to defend their hard-won rights and to take action against unacceptable conditions or unreasonable demands made of them by their employers.

Despite being hailed as the Conservative government's 'flagship' piece of legislation, it was widely described as being ill-conceived and flawed, the consultation was undoubtedly 'limited' having been rushed, the document was poorly written and was 'light' on evidence which rendered it difficult to properly scrutinise.

Although the original provisions have been somewhat diluted, and concessions have been made, it remains a controversial piece of legislation which is, at best, divisive but at worst variously described as "undemocratic, unprincipled and [completely] unnecessary". It is thought that it will almost inevitably cause great resentment and make it very much more problematic for those involved on all sides in industrial relations to work together constructively and may even lead to more acrimonious disputes between trade unions and employers than would otherwise be the case. The Social Workers' Union concurs with this view but, moreover, its members have expressed fears that there is every likelihood that this will lead to greater costs due to a loss of productivity and disruption of services.

**The Proposals of the Welsh Assembly to repeal the following provisions of The Act; The Trade Union (Wales) Bill:**

**The 'Double' Ballot**

The Social Workers' Union can see no justification in the raising of ballot thresholds (the so-called double ballot) for industrial action affecting 'important public services', (including social work provision) and suggest it is both unnecessary and unreasonable which, far from making strike action

less likely, could provoke exactly the opposite reaction. Social Workers are not generally seen as 'militant' and are usually extremely reluctant to take any action which could jeopardise the safety and welfare of their service users. However, based on feedback from its members, SWU believes that the large majority of the profession would wish to reserve the right to withhold their labour, *as a last resort*, ie if there was no alternative and they felt 'forced' into such a position. If this 'action of last resort' is made more difficult for the trade unions of the public sector (alone) it is possible that, in order to redress such an imbalance, more of their members would feel obliged to vote in a ballot and, potentially to vote *for* strike action, thereby making the prospect more, not less, likely.

That said, as a trade union, our modus operandi would always be to engage directly with employers to negotiate ways forward in any dispute that arose. This is how we advise our members to approach any issues they might have and it is how we work – dealing openly and honestly with our members and their employers in a professional way to minimise confrontation and to reduce the risks of getting to a stand-off position which is always an expensive option and rarely helpful for either party.

Indeed, social workers are very used to 'working in partnership'; it is a long-held concept within our professional training and practice and so, of course, we applaud and welcome the devolved Welsh Government's model of a social partnership approach to industrial relations and would not wish to see that diminished or threatened.

### **Facility Time**

The Act also requires more information to be released regarding the amount of paid time out of their day to day work (facility time) requested to be given to employees to undertake work on behalf of their trade union, the ultimate aim being to reduce it. Again, this requirement is to be restricted to public sector (which clearly employs considerably more trade union members than the private sector) and so whilst its apparent aim is to reduce the 'cost to the tax payer' for work that is undertaken for union business, it can also be seen as an attack on the trade union movement as a whole.

The Social Workers Union does not have any 'shop stewards' – all our officers are employed by BASW and SWU is contracted by them to undertake the representation of our members. We do have 'workplace volunteers' but their roles are very limited and they are not expected to take much time out of their working day to do 'union work' so this provision would not affect our operations. Nevertheless, we maintain that trade unions are an important part of the development of workers' rights in this country and it has long been recognised that to have useful and effective trade unions is an advantage to employers too. Therefore the provision of paid facility time was seen as a benefit to all and, whilst we accept that the delivery of public services must take priority, we feel that this can be managed by working in partnership with the trade unions without government intervention.

### **The Deduction of Union Subscriptions from Wages**

One of the most contentious of the proposals aims to place restrictions on the ability for employers to deduct Trade Union subscriptions directly from wages (known as 'check-off'). The Social Workers Union is a small, specialist trade union, and as such is not a 'recognised' trade union in many local authorities and none in Wales. We therefore do not rely on 'check-off' for our members' subscriptions which are paid directly to us by the member. The drawback of this is that we rely on our members to keep us informed whenever they change employers. This is necessary in order for us to comply with legislation on the balloting of members.

Clearly, for the large unions, who mostly use this form of subscription collection, this is a major change and potentially will cause them similar problems in terms of ensuring legal ballots and we do not support anything which makes such a democratic process more difficult. However, one 'unintended consequence' is that this system does benefit the large unions who are able to take advantage of the system and therefore places other, non-recognised trade unions at a distinct disadvantage. Consequently, anything that redresses that imbalance and would allow a more 'level playing field' for all trade unions is, of course, something our members would welcome.

That said, we understand that members are given *the option* to pay their subscriptions to the 'recognised' trade unions in this way, and more often than not, the trade unions pay the employers for that service so there is no cost to the public purse. The Social Workers' Union therefore feels that this should remain a matter of personal choice and as long as that 'choice' is genuine and there is no undue influence then legislation should not be required.

**Conclusion:**

Apart from as indicated above, we are not able to identify any other unintended consequences of the Bill, neither can we identify any major financial implications associated with its introduction. Indeed, from the evidence presented and for the reasons stated above, there is a real risk that it would be more detrimental in term of expenditure and that there would be greater costs associated with the adoption of the UK government's Trade Union Act 2016 in its entirety.

In our view, Trade Unions are one of the very foundations of democracy and we are proud to be a part of their rich history and heritage. We therefore fully support the Welsh government's Trade Union (Wales) Bill and applaud them in properly representing their electorate's democratic rights in repealing the UK governments Trade Union Act 2016 as it applies to public services devolved to them.

Lien Watts  
Assistant General Secretary  
The Social Workers' Union  
February, 2016

# Eitem 7

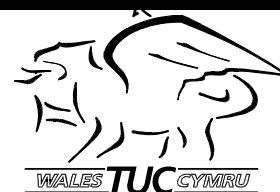
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***National Assembly for Wales Equalities Local  
Government & Communities Committee scrutiny of  
the Trade Union (Wales) Bill***

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## **1. The Wales TUC**

1.1 The Wales TUC is the collective voice of the Welsh trade union movement and is the largest democratic civic membership organisation in Wales. With over 50 affiliated trades unions representing around 400,000 workers across the public, private and third sectors, the Wales TUC represents the views of workers in communities across the whole of Wales. A constituent part of the British TUC, the Wales TUC has devolved responsibility for Welsh issues, including all matters within the remit of the National Assembly for Wales and the Welsh government.

1.2 Unions in Wales elect delegates to the Wales TUC Conference which decides on policy for Wales and itself elects the Wales TUC General Council to oversee the delivery of Welsh policy. The Wales TUC also delivers UK wide and international matters as part of the TUC.

1.3 The Wales TUC plays an integral role in the social partnership model of governance developed with the Welsh Government and employers in Wales. Our aim is to make Wales a fair work nation. We support the Trade Union Wales Bill ('the Bill') which will serve to protect the model of social partnership developed between unions, employers and the Welsh government by acting to repeal sections of the UK Trade Union Act 2016.

1.4 Our evidence will provide details of the social partnership approach in the devolved public services and the rationale for and benefits of disapplying sections of the UK Act as it specifically applies to these services. We have also been asked to include our associated response to the consultation on agency workers regulations and this is attached.

1.5 We recognise there has been some discussion regarding whether this Bill is within the competence of the National Assembly for Wales. We strongly believe that the content of the Bill, in dealing with aspects which specifically impact the provision of devolved public services, is firmly within competence. Indeed it is central to what Welsh voters elect Assembly Members to deliver. While the rest of this evidence does not deal with that matter we have attached for information the detailed legal opinion obtained by the Wales TUC in this regard.

## **2. Social Partnership**

2.1 Welsh government is committed to working through social partnership to achieve the best possible outcomes for public services and the economy of Wales. Successive Wales TUC Conferences have democratically endorsed this approach for Welsh unions and agreed that the Wales TUC should fully participate in the relevant structures. We bring our specific workforce perspective and priorities to these partnership arrangements, as the employer and government representatives bring theirs.

2.2 The social partnership approach applies across the public services and the economic interests of Wales. The Council for Economic Development and the Social Partners Strategy Group fully engage partners in the whole range of government policies and interventions which impact the economy. Employer organisations - such as the CBI, EEF, IOD and FSB -work as equal partners with unions through the Wales TUC and with government ministers and officials. All sides see the benefit of a joint approach to addressing mutual problems by delivering a shared response.

2.3 The Workforce Partnership Council (WPC) carries out the same role in Welsh public services and is the structure most relevant to the Committee's considerations. The Wales TUC co-ordinates the WPC involvement of the elected representatives of trade unions with members in the public sector. Employers in the devolved public sector are equal partners and the tripartite approach is completed by the full participation of Welsh government ministers and officials. The WPC considers all Wales, cross sector matters and arrives at agreements and guidance as required. The partnership approach is also reflected in collective bargaining arrangements for the devolved public services (eg the NHS Partnership Forum and the Local Government JNC) where sector specific terms and conditions matters are dealt with in the context of relevant UK or Wales agreements.

2.4 Across all elements of partnership, mutual respect and trust is essential. Difficult conversations are common and disagreement is addressed openly and directly. Trade unions and employers continue to invest in this grown up partnership approach because the challenges facing our public services are huge and the workforce implications of these challenges are significant. While each partner brings their own priorities and perspective there is a shared commitment to our public service and a shared objective of delivering excellent services and fair employment. All partners also explicitly recognise that negotiated settlement of contested areas prevents the development of industrial disputes with all the consequences that would have for service disruption and loss of income.

2.5 True social partnership is not an easy process of superficial unanimous agreement - it is a hard, contested and robust process which deals with challenging issues to the satisfaction of the partners involved. There will be occasions where agreement is not possible but the partnership process exists to ensure that is a rare event, that all possible avenues are exhausted prior to any dispute occurring and that any dispute is speedily resolved.

2.6 To achieve a mutually beneficial agreement at the earliest possible stage, it is essential that a partnership of equals exists and one 'side' is not hamstrung in its ability pursue the

interests of their constituency and to deal with the consequences of a failure to find agreement.

2.7 A good example of the social partnership approach is the (attached) WPC Partnership and Managing Change Agreement 2012 which remains in force. The agreement sets out the principles, process and method of working which should be adopted to manage change in a manner which promotes partnership and prevents conflict. It should be noted that the agreement concerns the fair delivery of change. Social partnership does not seek to block or delay change but rather deals with any necessary change fairly. It brings the expertise of the workforce to the table in designing effective systems of service delivery and ensuring any change benefits service users without disadvantaging employees.

As the agreement states;

*'High quality public service delivery must be synonymous with high quality employment practice. The Social Partners agree to adopt the principle of good practice on service improvement, delivery and employment to underpin this Agreement.'*

2.8 Trade unions have invested heavily in these structures with senior union employees - funded by membership subscriptions – dedicating their time and expertise to supporting positive social partnership. These resources are part of the democratic function of trade unions which also serve to significantly benefit the delivery and improvement of public services. Delivery of the social partnership approach relies heavily on the ability of unions to operate effectively at workplace level with members fully engaged in the democratic decision making process and the elected workplace representatives able to receive training to carry out their role and allowed sufficient time to carry out the representative function.

2.9 Sections 3, 13, 14 and 15 of the UK Trade Union Act 2016, undermine the ability of social partnership to function as these provisions; shift the balance towards the employer undermining equity between social partners; they restrict the ability of union members to have their views represented effectively at the workplace and they place significant barriers in the individual choice in and smooth administration of union membership.

2.10 In order to safeguard our social partnership approach, the Trade Union (Wales) Bill addresses these issues as they impact the devolved public services. The rest of this evidence covers the three significant aspects of the bill.

### **3. Strike action and the 40% ballot threshold**

3.1 Strike action is the last resort if extensive negotiation has not achieved a fair agreement on matters crucial to workers and their families, including jobs, pay and conditions. By repealing the new draconian restrictions on such action in devolved public services, the Bill protects the existing balance between employers and unions in the Welsh public sector. This balance is crucial to 'recruiting, retaining, developing and empowering a stable and

committed workforce' which the Bill's Explanatory Memorandum (EM) cites as 'essential' to the delivery of services to the people of Wales.

3.2 The Wales TUC supports the Bill's provision which removes the 40% ballot threshold for industrial action affecting 'important public services.' This arbitrary threshold will make it more difficult for many thousands of public sector workers to organise collectively in defence of their jobs, their livelihoods and the quality of their working lives.

3.3 The threshold is also discriminatory, presenting a clear disadvantage for workers in these services as compared with those in other parts of the public sector and those working in the private sector. The right to strike is a fundamental human right which should be enjoyed equally by all working people regardless of their job and whether they work in the private or public sector. Women will also be disproportionately affected by the UK threshold as the majority of union members working in the services concerned are female.

3.4 The UK Act's 40% threshold provision also fails to define the term 'important public services' or its rationale and instead lists the areas to be covered. As a result the effect of the legislation is to flout international standards. The Employment Law Association (ELA) warned against specific thresholds for services not covered by the International Labour Organisation's (ILO) 'essential services' definition, stating that this could be challenged 'on the basis that raised thresholds infringes Article 11 of the European Convention on Human Rights.' The ILO's Committee on Freedom of Association also states that it is not legitimate for governments to restrict the right to strike on the grounds that industrial action will impair wider economic activity.

3.5 The [UK Regulatory Policy Committee](#) (RPC) described the 40% threshold provision as not fit for purpose in 2015 and stated that the UK government's impact assessment 'does not explain the rationale for the proposals in a straight forward and logical way.' It further found that 'the Impact Assessment does not provide sufficient evidence of the likely impact of the proposals' and 'lacks evidence to support many of the quoted figures.' The report further criticised the UK government for failing to show evidence that alternatives to raised thresholds had been considered.

3.6 The increased threshold may also have the effect of prolonging and escalating disputes in Welsh public services as unions take more time ahead of ballots in order to meet the threshold. At the same time, employers will have less of an incentive to move towards a solution and may increasingly choose to wait to see if the additional threshold can be met. The effect will be to polarise the parties involved, making swift and amicable resolution more difficult to achieve.

3.7 The enmity which naturally arises from prolonged and escalated disputes makes the resolution process harder still. Such continuing contested situations can cause lasting damage to organisations which rely on a committed and engaged workforce. As a result, the



UK Act could lead to unrest and demoralisation without resolution within the Welsh public sector, as new restrictions make it far harder to access the right to strike.

3.8 We dispute the quoted estimate of £85,000 annual savings through ‘reduced days lost to strike action’. This estimates originates from the UK government’s evidence in support of the UK Act. We believe that the new restrictions risk provoking longer industrial action and more unresolved unrest in Welsh public services meaning that the 40% threshold would incur greater costs to the public rather than savings.

3.9 Wales TUC also firmly believes that the effect of the 40% threshold which counts abstentions as no votes sets a dangerous and undemocratic precedent which is not applied to any other democratic vote. Similar rules were not applied to the decision to establish Welsh devolution, nor the decision to give the National Assembly law making powers, nor the vote on the UK’s membership of the EU. Furthermore, not a single Assembly Member or Member of Parliament in Wales would have been elected on the basis of this undemocratic and unnecessary hurdle.

3.10 Paragraph 8.7 of the EM points to some examples of the industrial action prevented in Wales. It should also be noted that ongoing dialogue reaches beyond directly comparable England examples. While it is not possible to quantify this work, the investment of all social partners in ongoing partnership talks prevents other disagreements from developing into disputes.

#### **4. The deduction of union subscriptions from wages (Check off)**

4.1 Wales TUC supports The Bill’s provision to remove restrictions on the collection of union membership fees known as ‘check off’ or ‘DOCAS’. There is a misperception that this system is an arrangement between employers and unions ‘above the heads’ of individual members – this is the opposite of the truth. It is not possible under statute to force an individual to join or pay subscriptions to a union. Where a worker decides to join a union they make an individual signed application for membership and make a choice in how they wish their subscription payments to be made. The direct deduction from salary is often the most convenient choice for the individual member. When setting out their opposition to the UK Act, Welsh public sector employers also expressed satisfaction with existing arrangements which are not considered onerous or controversial.

4.2 The benefits of check off for individual members have also been acknowledged by [the High Court](#) when a previous attempt to withdraw check off facilities across the civil service was held as unlawful. In giving judgement, Mr Justice Supperstone stated: ‘I am not impressed by the argument that check off is only or primarily for the benefit of the union as such, rather than for its members in their capacity as employees.’

4.3 Many employers offer similar salary deduction schemes covering childcare, travel, bicycle or gym payments. It is not logical or fair to exclude or restrict access to payroll deduction on the basis of its use for trade union membership. This interferes with the individual's freedom to choose how to arrange his/her voluntary payments to a union. It is also not reasonable to suggest that the costs of managing general payroll deduction systems are attributable solely to check off.

4.4 The cost of providing a check off option for an individual employee is not easily definable. Modern automated public sector payroll systems are already established with the capacity to offer the wide range of deductions from salary referred to above and therefore no new I.T. investment is required. The costs associated with inputting an individual choice to have any particular deduction made is certainly not a specific source of increased dedicated staff cost for employers. Paragraph 8.40 of the EM further states that discussions with public sector employers reveal that the cost of check off is 'minimal.'

4.5 Welsh public sector employers and unions agree that the smooth operation of check off provides a convenience for employees and stability for trade unions. Attempting to undermine the relationship between employees and the trade unions they voluntarily join has the potential to fundamentally challenge the social partnership model in Wales. In order to protect a partnership approach that respects and upholds the benefits of independent representation at work, it is crucial that this form of payment is protected and promoted as a healthy facet of workplace democracy.

4.6 Check off arrangements also allow for fair and equal access to trade union representation at work which could otherwise be undermined by digital exclusion. By providing a simple, consistent and manageable payment system check off ensures equal access for workers, regardless of whether they use ICT systems at work or at home and regardless of whether they have access to a bank account.

## **5. Facility Time**

5.1 Wales TUC supports the Bill's proposal to protect existing arrangements for trade union facility time in the Welsh public sector. The ability of elected workplace union officials in the Welsh public sector to properly represent their members is in the interests of the effective delivery of Welsh public services in social partnership. This time is invested in the discharge of serious responsibilities including negotiating for fair pay and conditions, raising safety standards, promoting learning and equality as well as supporting members in grievance and disciplinary hearings. The ability to attend training in order to carry out this role effectively is also essential.

5.2 Facility time in the form of paid release agreed with an employer should not be confused with other trade union functions. Almost all officials working fulltime on union matters are directly employed by unions and funded from membership subscriptions to negotiate and

represent members on a wide range of issues at no cost to the public. These officials engage in social partnership structures, investing union resources in bodies which are integral to delivery and change management in Welsh public services.

5.3 The Workplace Employment Relations Study (WERS) - the authoritative UK government backed report - found that only 2.8% of workplaces with a recognised union have a union representative that spends all, or nearly all, of their working time on representative duties. In both the public and private sectors this degree of paid release is found in workplaces with an average of over 500 employees. In health, the equivalent figure stands at around 2,500 employees.

5.4 Elected union representatives in public sector workplaces throughout Wales are civic minded volunteers who work tirelessly to support their colleagues and enhance services. This means managing challenging and complex issues, often outside of working hours with no extra pay or in working hours on an unpaid basis. Occasionally, in a period of significant organisational change, employers may agree a temporary increase in paid release to ensure that they can access the full benefit of collective union representation in the delivery of change.

5.5 In the devolved public sector, employers reach agreement with unions over the degree of paid release from work appropriate for elected reps to deliver social partnership. Mostly this involves release for training in their role, attending occasional meetings with their employers to establish and present the collective workforce view or to represent individual employees. The role has a major beneficial impact on the delivery of safer and better public services. The key issue is that facility time is a *voluntary* agreement between employer and union which identifies arrangements appropriate to the needs of specific workplaces or specific services.

5.6 As an example the Fire Brigades Union (FBU) utilises facility time to train highly qualified Serious Accident Investigators who work with fire authorities to investigate incidents where firefighters are killed on duty and to identify and implement service improvements which can prevent future fatalities. Caps on facility time could restrict the ability of FBU representatives to participate. This would further endanger firefighters and could mean any new safety critical problems identified are left unresolved.

5.7 In 2007, the then UK Dept for Business, Enterprise & Regulatory Reform (BERR) conducted a review into the cost of union representatives and the benefits accrued. The report found significant benefits in the areas identified in paragraph 3.12 of the EM:

- a) Workplace-related injuries were lower in unionised workplace with union reps resulting in savings to employers of £126–371m a year.
- b) Workplace-related illnesses were lower in unionised workplace with union reps resulting in savings to employers of £45–207m a year.

- c) Employment tribunal cases were lower in unionised workplaces with union reps resulting in savings to government of £22–43m a year.
- d) Dismissal rates were lower in unionised workplaces with union reps – this resulted in savings related to recruitment costs of £107–213m a year.
- e) Voluntary exit rates were lower in unionised workplaces with union reps, which again resulted in savings related to recruitment costs of £72–143m a year.

5.8 The UK government has not fully updated these figures since but TUC analysis of the 2011 WERS study finds that similar savings have continued to be realised by facility time. In protecting the existing arrangements rather than accepting unnecessary imposed change; the Bill will allow the Welsh public sector to realise the benefits brought about by a trained and effective network union representatives working in partnership with their employers on behalf of their work colleagues.

5.9 The benefits of facility time cited in the EM are further supported by the decision of all Welsh public sector employers represented on the WPC to oppose the UK Act. This included NHS Wales and the Welsh Local Government Association (WLGA). The WLGA's [evidence](#) to Westminster's Business Innovation & Skills (BIS) Committee described facility time as essential during a time of growing pressure and constant change: 'Facility time enables councils to consult and negotiate with the trades unions officials representing the workforce, and therefore actually saves considerable time and resources. It is therefore essential in our view, and very much in the interests of council tax payers to see it maintained.'

5.10 As unions and public sector employers in Wales all oppose restrictions on facility time, it is correct that The Bill should maintain the existing Welsh arrangements which allow employers and unions to negotiate appropriate arrangements on this matter. This provision will also save public bodies £171,700 in needless reporting costs as set out in the EM.

## 6. Conclusion

The Wales TUC will be happy to provide oral evidence to support our position on the Bill along with further written background information should the Committee find this useful.

## **1. The Wales TUC**

1.1 The Wales TUC is the collective voice of the Welsh trade union movement and is the largest democratic civic membership organisation in Wales.

1.2 With over 50 affiliated trades unions representing around 400,000 workers across the public, private and third sectors, the Wales TUC represents the views of workers in communities across the whole of Wales. A constituent part of the British TUC, the Wales TUC has devolved responsibility for Welsh issues, including all matters within the remit of the National Assembly for Wales and the Welsh government.

1.3 Unions in Wales elect delegates to the Wales TUC Conference which decides on policy for Wales and itself elects the Wales TUC General Council to oversee the delivery of Welsh policy. The Wales TUC also delivers UK wide and international matters as part of the TUC.

1.4 The Wales TUC plays an integral role in the social partnership model of governance developed with the Welsh Government and employers in Wales. Our aim is to make Wales a fair work nation.

## **2. Concerns about the use of agency workers to replace strikers**

2.1 The UK government plan to lift the ban on the supply of agency workers during strikes is opposed by the Wales TUC, unions and many employers on the grounds it is likely to escalate disputes and will place agency workers in the invidious position of needing to choose whether to cross a picket line.

2.2 Agency workers will often not be familiar with procedures used in workplaces, raising concerns over safety and the quality of services. The use of agency workers to break strikes could also damage ongoing employment relations, especially in workplaces where agency workers are used on a regular basis.

2.3 The ILO Committee of Experts concluded that the proposals breached international standards, notably ILO Convention 87. The Committee requested that the UK Government *“review this proposal with the social partners concerned”*

2.4 The agency industry faces significant reputational damage if it is seen to fuel and prolong difficult industrial disputes. The UK government’s proposals have attracted criticism within the agency sector.

- The International Confederation of Private Employment Agencies Code of Conduct prohibits the supply of agency workers during strikes.
- Several UK employment businesses have signed international framework agreements which prohibit the supply of agency workers during strikes.
- Kate Shoemith, Head of Policy at the UK Recruitment and Employment Confederation, said: *“We are not convinced that putting agencies and temporary workers into the middle of difficult industrial relations situations is a good idea for agencies, workers or their clients. Our members want to provide the best possible levels of service to their clients but they also have a duty of care to the workers they provide.”*

### **3. Social partnership in Wales**

3.1 The Wales TUC fully supports the social partnership approach adopted by Welsh government. We welcome the ability to work in formal tripartite (government, employers and unions) structures to deliver more effective services and fair outcomes for all. While advisory groups/commissions, bilateral discussions and open consultations may have a role but they cannot replace or replicate the workforce engagement made possible by the direct, formal tripartite social partnership such as that developed in the Welsh public sector Workforce Partnership Council.

3.2 The Wales TUC strongly welcomes and endorses the approach adopted by Welsh government and the National Assembly as a whole in acting to protect Welsh social partnership from the negative impacts of the UK Trade Union Act – at least insofar as the devolved public services are concerned.

3.3 The proposed change to regulation 7 of the UK Conduct of Employment Agencies and Employment Businesses Regulations 2003, while not part of the UK Trade Union Act itself, is nonetheless a significant part of the same agenda with the same detrimental impact on Welsh social partnership arrangements in the delivery of devolved public services in Wales.

3.4 The Wales TUC therefore welcomes the action proposed in this Welsh government consultation to prevent the Welsh social partnership approach being undermined by UK legislation.

#### 4. The consultation questions

Q1. The Welsh Government believes that the effect of the law currently in place should continue, in which Welsh public service employers are not be able to use workers employed by an employment business to provide cover for staff taking industrial or providing cover for them.

Do you agree? **Yes**

Q2. The Welsh Government believes that the current voluntary arrangements are adequate to ensure that 'life and limb' continues to be protected by public services during industrial action.

Do you agree? **Yes**

Q3. The Welsh Government does not anticipate that the proposal would significantly affect the financial position of employment agencies and businesses.

Do you agree? **Yes**

Q4. Do you agree that the option to use primary legislation to create a duty on Welsh public service employers not to use agency workers best achieves our intention?

***And***

Q5. Do you agree that the option to use guidance or Ministerial direction to Welsh public service employers best achieves the intention?

**The Wales TUC believes that preventing the use of agency workers during strike action is a matter of fundamental principle and should be clearly identified in statute as such.**

**There is certainly a place for such a clause in the proposed Welsh primary legislation to dis-apply aspects of the UK Trade Union Act.**

**Enshrining the principle in statute would also make less possible any easy repeal at some future point.**



**We do however recognise that the specific detail of the regulation may be better delivered in secondary legislation/ministerial direction.**

**This would avoid unnecessary delay at primary legislation stage. It would also allow any necessary amendment dealing with new circumstances to be made quickly.**

**The consultation document says that a legal duty ‘carries the same weight’ whether delivered through primary or secondary routes and that each route is equally binding on employers and each is equally enforceable.**

**The Wales TUC would be content for the specifics of the duty to be delivered through secondary legislation if the principle is set out in primary statute and the full terms of the duty are equally binding and fully enforceable in all areas of the devolved public service.**

**Complications of the devolution settlement and varying ministerial powers in different sectors must not interfere with the full and equal application of the duty to all employers and all sectors in the devolved services.**

Q6. Are there any circumstances which should be exempted from the duty and if so what do you think would be the consequences of not exempting them?

**No/none.**

Q7. We have asked a number of specific questions. If you have any related issues which we have not specifically addressed, please use this space to report them.

**See above.**

**IN THE MATTER OF**  
**THE UK GOVERNMENT’S TRADE UNION BILL**  
**AND THE NEED TO OBTAIN THE LEGISLATIVE CONSENT**  
**OF THE NATIONAL ASSEMBLY FOR WALES**

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**OPINION**

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**INTRODUCTION**

1. We are instructed to provide a legal Opinion to Wales TUC Cymru with regard to the UK Government’s proposed draft Trade Union Bill 2015. In particular, we are asked to provide our Opinion in relation to the following questions:

(1) Do any provisions of the Trade Union Bill fall within the legislative competence of the National Assembly for Wales?

(2) Is the legislative consent of the National Assembly for Wales required for the Trade Union Bill?

(3) If the Trade Union Bill, as currently drafted, were enacted, could the National Assembly for Wales enact legislation to disapply provisions of the Trade Union Bill in Wales?

**SUMMARY**

2. This advice is structured as follows. We begin by setting out the background to the Trade Union Bill and outlining the Welsh Government’s opposition to the Bill. We then set out the legal and constitutional framework that governs the relationship between the Westminster Parliament and the National Assembly for Wales (“**the Assembly**”), before providing our Opinion on the questions identified in paragraph 1 above.

## **BACKGROUND TO THE TRADE UNION BILL 2015**

3. The Trade Union Bill was announced during the Queen's Speech on 27 May 2015, and subsequently introduced in the House of Commons on 15 July 2015.

### ***(i) A summary of the proposals contained in the Trade Union Bill***

4. The Trade Union Bill amends the Trade Union and Labour Relations (Consolidation) Act 1992. The following is a summary of the proposals contained in the most recent version of the Bill (Bill 86) ("**the Bill**").

### ***Changes Relating to Industrial Action Ballots:***

5. The Bill makes provision for:
  - a. A new 50% turnout requirement in all industrial action ballots (clause 2);
  - b. An additional requirement for a positive vote by at least 40% in ballots where those entitled to vote are normally engaged in the provision of "important public services" or activities ancillary to the provision of such services (clause 3); "Important public services" would be defined in regulations which may specify only services that fall within (a) health services, (b) education of those under 17 (c) fire services (d) transporting services (e) decommissioning of nuclear installations and (f) border security;
  - c. New requirements for information to be included on the voting paper (clause 4);
  - d. A new requirement to provide members with information about the ballot (clause 5);
  - e. A requirement to provide additional information to the Certification Officer about industrial action (clause 6).

### ***Changes Relating to the Timing and Duration of Industrial Action:***

6. The Bill makes provision for:
  - a. Extending the period of notice required from 7 to 14 days (clause 7);

- b. Expiry of the mandate for industrial action four months after the date of the ballot (clause 8);
- c. A new requirement for picket supervisors to take reasonable steps to communicate information to police (clause 9).

***Contributions to political funds***

- 7. Clause 10 of the Bill makes it unlawful to require a member of a union to contribute to a political fund unless he/she has indicated in writing his/her willingness to do so, abolishing the “opt-out” scheme that operates under the 1992 Act.

***Facility Time:***

- 8. Clause 13 of the Bill would confer power to make regulations that set a percentage limit on the amount of facility time taken by relevant union officials at relevant public sector employers (e.g. to introduce a cap limiting facility time to 50% of the official’s working time) and/or set a cap on the percentage of the employer’s pay bill that may be spent on facility time.

***Check Off:***

- 9. Clause 14 introduces a prohibition on a public sector employer deducting trade union subscriptions from wages payable to workers.

***Investigatory Powers and Sanctions:***

- 10. Clauses 15 to 18 and Schedules 1 to 3 would introduce investigatory and enforcement powers, including the power to impose financial penalties of between £200 and £20,000, as well as the power to, by regulations, make provision for the Certification Officer to require trade unions and employers’ associations to pay a levy, funding the performance of his role.

**(ii) The UK Government's position on the legislative consent of the National Assembly for Wales**

11. Paragraph 9 of the Explanatory Note to the Bill deals with the territorial extent and application of the Bill, and says:

*“The provisions of the Bill extend to Great Britain. In the view of the UK Government, the matters to which the provisions of the Bill relate are not within the legislative competence of the Scottish Parliament or the National Assembly for Wales; accordingly, no legislative consent motions are required...”*

12. Further, in the Explanatory Note to clause 20 of the Bill, the UK Government expresses the view that no legislative consent motion is required because the subject matter of the Bill is not devolved to the Assembly.

13. During a Public Bill Committee debate on 27 October 2015, Nick Boles, the Minister for Skills, provided the following explanation for the position that the legislative consent of the Assembly is not required<sup>1</sup>:

*“All the provisions in the Bill relate to employment and industrial relations law, which are clearly reserved matters under the devolution settlements for Scotland and Wales. New clause 11 relates to the same reserved matters, so it is entirely in order for the Government to propose that its provisions should also apply to the whole of Great Britain. I see no reason why the Government should seek consent before applying those provisions in particular areas.”*

**WELSH GOVERNMENT'S OPPOSITION TO THE TRADE UNION BILL**

14. The Welsh Government's position on the Bill was set out in a Written Statement to the Assembly on 9 September 2015.<sup>2</sup> The statement condemned the Bill as having “*the potential to cause significant damage to the social and economic fabric of the UK*” and expressed the concern that the proposed measures “*will prove socially divisive, lead to more confrontational relationships between employers and workers, and ultimately undermine rather than support public services and the economy*”. The statement went on to set out the Welsh Government's view that the Bill relates to devolved responsibilities

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<sup>1</sup> <http://www.publications.parliament.uk/pa/cm201516/cmpublic/tradeunion/151027/pm/151027s01.htm>

<sup>2</sup> <http://gov.wales/about/cabinet/cabinetstatements/2015/tradeunionbill/?lang=en>

and stated that the Welsh Government reserved its position on whether a legislative consent motion is required.

15. On 14 October 2015, a cross-party motion (sponsored by Mick Antoniw AM) was agreed by the Assembly (40 votes to 11)<sup>3</sup> which held that the Assembly believes that:

- a. *“the UK Government's Trade Union Bill is an unnecessary attack on the democratic rights of working people and will undermine the good and constructive industrial relations that have been established in Wales since 1999”;*
- b. *“the Bill risks contravening the Human Rights Act 1998 and International Labour Organisation's Conventions 87, 98 and 151”;* and
- c. *“the Bill intrudes in areas that are the responsibility of the Welsh Government and that it should not be applied to Wales without the consent of the National Assembly of Wales”.*

16. On 20 November 2015, a legislative consent memorandum was laid in the Assembly by Leighton Andrews AM, Minister for Public Services, on behalf of the Welsh Government. The memorandum sets out the Welsh Government's view that the Assembly's consent would be required for clauses 3, 12, 13 and 14 as they relate to devolved matters. The memorandum sets out the view that these clauses fall within the legislative competence of the Assembly in so far as they relate to public sector employers in Wales involved in the provision of a range of public services including:

- a. Education and training;
- b. Fire and rescue services;
- c. Provision of health services;
- d. Local government; and
- e. Transport facilities and services.

17. The memorandum also states an intention to table a legislative consent motion under Standing Order 29.6 seeking Assembly Members' consent to the inclusion of clauses 3, 12, 13 and 14 in the Bill and explains that the Welsh Government's view is that consent should not be given.

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<sup>3</sup> <http://www.senedd.assembly.wales/ieDecisionDetails.aspx?id=2440>

## LEGAL AND CONSTITUTIONAL FRAMEWORK

### ***(i) The scope of the Assembly's devolved legislative competence***

18. The competence of the Assembly is determined by section 108 of the Government of Wales Act 2006 ("**the GWA**"). Section 108 GWA provides:

*(1) Subject to the provisions of this Part, an Act of the Assembly may make any provision that could be made by an Act of Parliament.*

*(2) An Act of the Assembly is not law so far as any provision of the Act is outside the Assembly's legislative competence.*

*(3) A provision of an Act of the Assembly is within the Assembly's legislative competence only if it falls within subsection (4) or (5).*

*(4) A provision of an Act of the Assembly falls within this subsection if–*

*(a) it relates to one or more of the subjects listed under any of the headings in Part 1 of Schedule 7 and, subject to subsection (4A), does not fall within any of the exceptions specified in that Part of that Schedule (whether or not under that heading or any of those headings), and*

*(b) it neither applies otherwise than in relation to Wales nor confers, imposes, modifies or removes (or gives power to confer, impose, modify or remove) functions exercisable otherwise than in relation to Wales.*

*(4A) Provision relating to a devolved tax (as listed under the heading "Taxation" in Part 1 of Schedule 7) is not outside the Assembly's legislative competence by reason only of the fact that it falls within an exception specified under another heading in that Part of that Schedule.*

*(5) A provision of an Act of the Assembly falls within this subsection if–*

*(a) it provides for the enforcement of a provision (of that or any other Act of the Assembly)*

*which falls within subsection (4) or a provision of an Assembly Measure or it is otherwise*

*appropriate for making such a provision effective, or*

*(b) it is otherwise incidental to, or consequential on, such a provision.*

*(6) But a provision which falls within subsection (4) or (5) is outside the Assembly's legislative competence if–*

*(a) it breaches any of the restrictions in Part 2 of Schedule 7, having regard to any exception*

*in Part 3 of that Schedule from those restrictions,*

*(b) it extends otherwise than only to England and Wales, or*

*(c) it is incompatible with the Convention rights or with EU law.*

*(7) For the purposes of this section the question whether a provision of an Act of the Assembly relates to one or more of the subjects listed in Part 1 of Schedule 7 (or falls within any of the exceptions specified in that Part of that Schedule) is to be determined by reference to the purpose of the provision, having regard (among other things) to its effect in all the circumstances.*

19. In summary, section 108(1) GWA confers on the Assembly the power to pass without recourse to Parliament primary legislation which relates to one or more of the subjects listed in Part I of Schedule 7 and which does not fall within any of the exceptions specified in that Part of the Schedule. Under section 108(2), an Act of the Assembly is not law so far as any provision of the Act is outside the Assembly's legislative competence. Under section 108(3), a provision is within the Assembly's competence only if it falls within subsections (4) or (5) of that section and complies with the requirements of subsection (6). It must also relate to one or more of the subjects listed in Schedule 7 to be within the Assembly's competence.
  
20. Part I of Schedule 7 sets out 21 subject areas falling within the legislative competence of the Assembly. In our Opinion, the following devolved subject areas relate to provisions contained within the Bill:
  - a. Para. 5 - Education and training;
  - b. Para. 7 - Fire and rescue services and fire safety;
  - c. Para. 9 - Health and health services;
  - d. Para. 10 - Highways and transport;
  - e. Para. 12 - Local government;
  - f. Para. 14 - Public administration.
  
21. It is important to note that Part 2 of Schedule 7 sets out "general restrictions" on the Assembly's legislative competence and Part 3 of Schedule 7 sets out exceptions to the general restrictions contained in Part 2.



**(ii) The approach to determining whether legislative provisions fall within the Assembly's legislative competence under GWA**

22. The question of whether a provision is outside the competence of the Assembly must be determined by the provisions contained in section 108 of, and Schedule 7 to, GWA: see *In re Agricultural Sector (Wales) Bill* [2014] UKSC 43; [2014] 1 WLR 2622 at [6] and *Attorney General v National Assembly for Wales Commission* [2012] UKSC 53; [2013] 1 AC 792 at [78]-[81].
23. In accordance with the terms of section 108(4) GWA, it is necessary to examine whether any provision of the Bill relates to one or more of the subjects listed under the headings in Part I of Schedule 7, and then whether the provisions fall within any of the exceptions specified in that Part of Schedule 7. Finally, it is necessary to consider whether it is outside the Assembly's legislative competence by reason of any other provisions of the GWA.
24. The first question is whether a provision "relates to" one of the subjects in Schedule 7. The expression "relates to" has been held to indicate "*more than a loose or consequential connection*": *In re Agricultural Sector* at [50] and *In re Recovery of Medical Costs for Asbestos Diseases (Wales) Bill* [2015] UKSC 3 at [25] applying *Martin v Most* [2010] UKSC at [49] and *Imperial Tobacco Ltd v Lord Advocate* [2013] UKSC 153.
25. Two recent decisions of the Supreme Court apply this test in a Welsh context.
26. The first is *In re Agricultural Sector*. In that case:
- a. The Supreme Court adopted a broad approach to the interpretation of the Assembly's legislative competence. Lords Reed and Thomas, giving the judgment of the Supreme Court, held that when determining the meaning of the relevant subject within Schedule 7, the court should consider that "*each is intended to designate a subject matter which is the object of legislative activity*". In the context of determining the meaning of "agriculture" as a subject heading, this justified a broad interpretation "*as designating the industry or economic activity of agriculture in all*

*its aspects, including the business and other constituent elements of that industry”:*  
[49].

- b. The Supreme Court went on to say that whether a Bill “relates to” a subject matter is to be determined under section 108(7) “*by reference to the purpose of the provision, having regard (among other things) to its effect in all the circumstances*”; and the clearest indication of the purpose of legislation may be found in a report that gave rise to the legislation, or in the report of an Assembly committee: [50]. The Supreme Court found that the Agricultural Sector (Wages) Bill had as its purpose the regulation of agricultural wages so that the agricultural industry in Wales would be supported and protected [52] and therefore was aptly classified as relating to agriculture [54].

27. The second case is *In re Recovery of Medical Costs*. In that case the Supreme Court followed the same approach as in *In re Agricultural Sector* to determine the scope of the Assembly’s legislative competence, [25], but a majority (supporting a judgment delivered by Lord Mance) held that provisions in the Recovery of Medical Costs for Asbestos Diseases (Wales) Bill which imposed on persons making compensation payments in respect of victims of asbestos-related diseases (i.e. insurers) a liability to pay charges in respect of Welsh NHS services provided to the victim as a result of the disease fell outside the Assembly’s competence. The issue in that case was whether the provisions imposing liability on insurers related to the provision, organisation and funding of the Welsh NHS. Lord Mance (with whom Lords Neuberger and Hodges agreed) concluded that they did not, setting out the following reasons for his conclusion at [27]:

*“any raising of charges permissible under paragraph 9 would have, in my opinion, to be more directly connected with the service provided and its funding. The mere purpose and effect of raising money which can or will be used to cover part of the costs of the Welsh NHS could not constitute a sufficiently close connection. In the case of prescription or other charges to users of the Welsh NHS service, a direct connection with the service and its funding exists, in that users are directly involved with and benefitting by the service. In the case of charges under section 2, the argument would have to be that a sufficient connection can be found in the actual or alleged wrongdoing that led to a compensator making a compensation payment to or in respect of a sufferer from an asbestos-related disease. But that is at best an indirect, loose or consequential connection. The expression “organisation and funding of national health service” could not, in my*

*opinion, have been conceived with a view to covering what would amount in reality to rewriting the law of tort and breach of statutory duty by imposing on third persons (the compensators) having no other direct connection in law with the NHS, liability towards the West Ministers to meet costs of NHS services provide to sufferers from asbestos-related diseases towards whom such third persons decide to make a compensation payment for liability which may or may not exist or have been established or admitted.”*

28. It is important to note that the Supreme Court in *In re Recovery of Medical Costs* adopted and applied the same test for determining whether a provision “relates to” a devolved subject matter as the Supreme Court in *In re Agricultural Sector*. What Lord Mance’s judgment illustrates is that the court will carefully analyse the statutory and factual context of any provision to determine whether the purpose and effect of a legislative provision has a sufficiently close connection to a devolved subject matter to fall within the Assembly’s legislative competence.

29. A further principle of law can be identified from the case law. Where a Bill relates to a devolved subject matter and a subject matter which has not been devolved but in respect of which there is no express exception specified in Schedule 7, it nonetheless falls within the scope of the Assembly’s legislative competence: see *In re Agricultural Sector*.

a. In that case, the Attorney General had argued that the Agricultural Sector (Wales) Bill 2013 related to “employment” and “industrial relations” and that the 2013 Bill was outside the Assembly’s legislative competence as neither employment nor industrial relations is listed as a subject in schedule 7 to the GWA. The Supreme Court noted, however, at [59] that:

*“employment and industrial relations are not specified in Schedule 7, or elsewhere in the Act, as exceptions to the legislative competence of the Assembly. Certain aspects of employment are specified as exceptions, as we have explained in para 33, but the very fact that those particular aspects are specified tends to suggest that there was no intention to create a more general limitation on legislative competence.”*

b. The Supreme Court accepted that the Bill related to agriculture as well as employment and industrial relations: [65]. However, it held that as the 2013 Bill

related to a devolved subject matter, and did not fall within any of the specified exceptions, the fact that it was also capable of being classified as relating to a subject matter which is not devolved did not bring it outside the Assembly's legislative competence. The Court's reasoning is summarised at [67]:

*“As we have explained, the scheme of the conferred powers model adopted for Welsh devolution, as embodied in the 2006 Act, is to limit the legislative powers of the Assembly in relation to subjects listed in Schedule 7 by reference to the express exceptions and limitations contained in the Act, rather than via some dividing up of the subjects in Schedule 7 along lines not prescribed in the legislation. Under section 108(4) and (7), the Assembly has legislative competence if the Bill relates to one of the subjects listed in Part 1 of Schedule 7, provided it is not within one of the exceptions. In most cases, an exception will resolve the issue. Where however there is no exception, as in the present case, the legislative competence is to be determined in the manner set out in section 108. Provided that the Bill fairly and realistically satisfies the test set out in section 108(4) and (7) and is not within an exception, it does not matter whether in principle it might also be capable of being classified as relating to a subject which has not been devolved. The legislation does not require that a provision should only be capable of being characterised as relating to a devolved subject.”*

**(iii) The Sewel Convention**

30. The Sewel Convention provides that the UK Parliament may not legislate for devolved matters without the consent of the devolved legislature affected.
31. A Memorandum of Understanding between the UK government and the devolved administrations was agreed in September 2012 (“**the MoU**”). The MoU is incorporated in the paper ‘*The Memorandum of Understanding and Supplementary Agreements between the UK Government, the Scottish Ministers, the Welsh Ministers and the Northern Ireland Executive Committee*’ (October 2013).
32. Paragraph 14 of the MoU sets out the Sewel Convention which provides that the UK Government will not normally invite the UK Parliament to legislate with regard to devolved matters except with the agreement of the relevant devolved legislature.

*“The United Kingdom Parliament retains authority to legislate on any issue, whether devolved or not. It is ultimately for Parliament to decide what use to make of that power. However, the UK Government will proceed in accordance*

*with the convention that the UK Parliament would not normally legislate with regard to devolved matters except with the agreement of the devolved legislature. The devolved administrations will be responsible for seeking such agreement as may be required for this purpose on an approach from the UK Government.”*

33. Devolution Guidance Note 9, ‘Parliamentary and Assembly Primary Legislation Affecting Wales’ (“DGN 9”), confirms that the UK Government understands that the convention applies to matters within the legislative competence of the Assembly. This understanding is set out at paragraph 36 of DGN 9 which states:

*“The UK Government would not normally ask Parliament to legislate in relation to Wales on subjects which have been devolved to the Assembly without the consent of the Assembly. The Assembly grants consent by approving Legislative Consent Motions (LCMs).”*

**(iv) Procedure in the event that the provision of UK Bill falls within the scope of the Assembly’s legislative competence**

34. In cases where the UK Parliament plans to legislate in devolved areas, the UK Government must seek the Assembly’s agreement. The Assembly will provide or refuse to provide such agreement by considering and voting on a legislative consent motion.
35. Under Standing Order 29, ‘Consent in relation to UK Parliament Bills’<sup>4</sup>, the Welsh Government is required to bring forward a legislative consent motion and an accompanying memorandum in relation to any UK Bill that makes provision in relation to Wales for any purpose within the legislative competence of the Assembly or that negatively affects those powers.

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<sup>4</sup>  
[http://www.assembly.wales/NAFW%20Documents/Assembly%20Business%20section%20documents/Standing\\_Orders/Clean\\_SOs.eng.pdf](http://www.assembly.wales/NAFW%20Documents/Assembly%20Business%20section%20documents/Standing_Orders/Clean_SOs.eng.pdf)

**Do any provisions of the Trade Union Bill fall within the Welsh Assembly’s legislative competence?**

36. We have set out above the approach that is to be followed when determining if a provision falls within the Assembly’s legislative competence. In summary:

- a. A provision will fall within the scope of the Assembly’s legislative competence if it “relates to” a devolved subject matter; and,
- b. Whether a provision “relates to” a subject matter is to be determined by reference to the purpose of the provision *“having regard (among other things) to its effect in all the circumstances”*.

37. In *In Re Agricultural Sector*, the Supreme Court stated at [50] that the clearest indication of the purpose of proposed legislation may be found in a report that gave rise to the legislation. We therefore begin by setting out evidence of the policy objectives underpinning the Bill as well as evidence of the effect that its provisions would have if implemented in Wales.

***(i) Evidence of purpose and effect of Trade Union Bill***

38. On the purpose of the Bill, the following documents are important to have regard to:

- a. The Explanatory Notes to the Bill, paragraph 2 of which states:

*“This Bill is intended to give effect to commitments in the Conservative Party’s manifesto for the 2015 General Election. During the Queen’s Speech on 27 May 2015, it was announced that the Government would introduce legislation to reform trade unions and to protect essential public services against strikes.”*

- b. The September 2015 House of Commons Briefing Paper (**“the Briefing Paper”**),<sup>5</sup> which describes the main purposes of the Bill as being to:

*“• Pursue our ambition to become the most prosperous major economy in the world by 2030.*

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<sup>5</sup> Briefing Paper number CBP 7295, 7 September 2015.

- *Ensure hardworking people are not disrupted by little-supported strike action.*<sup>6</sup>

and states that a key aim of the Bill is to reduce the impact of industrial action on key public services:

*“The Government is particularly concerned with the impact of industrial action in certain public services, namely the fire, health, education, transport, border security and nuclear decommissioning sectors. We can look at the number of working days lost to industrial action by industry, which gives some indication of the effect of industrial action in public services.”*<sup>7</sup>

39. A principal aim of the Bill, therefore, appears to be to reduce the effects of public sector industrial action.<sup>8</sup>

40. As explained above, when seeking to understand the Bill’s purpose, it is also relevant to consider the effect of its provisions. The Welsh Government’s position is that the Bill will undermine the ability of public sector employers to give effect to the social partnership model for the provision of public services and that this will adversely impact on the delivery of devolved public services in Wales. The following documents are relevant to understanding the Welsh Government’s position:

- a. *‘Working Together for Wales: A Strategic Framework for the Public Service Workforce in Wales’*, which sets out the Welsh Government’s social partnership model for supporting the delivery of public services in Wales, and includes: (i) a recognition of the “vital role” of trade unions in providing a fair deal for the public service workforce; (ii) a commitment to partnership working with trade unions; (iii) a commitment to the Workforce Partnership Council as a mechanism for bringing together public service employers and trade unions throughout Wales.<sup>9</sup>

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<sup>6</sup> Briefing Paper p. 6.

<sup>7</sup> Briefing Paper, p. 13

<sup>8</sup> On this, see also, at p. 12, the Briefing Papers states that *The Bill’s proposals are set against a 77% increase in working days lost due to industrial action, from 440,000 days in 2013 to 788,000 in 2014. The number of days lost in 2014 was higher than the average of the 1990s and the 2000s and can be attributed to a number of large-scale public sector strikes, and at p. 14 refers to the Impact Assessment accompanying the Trade Union Bill as showing the likely impact of industrial action on UK GDP, the resultant loss of working days and the impact on output or production of business indirectly affected by the strike.*

<sup>9</sup> Paragraph 1.4 <http://gov.wales/docs/dpsp/publications/120525worktogetheren.pdf>.

- b. The Workforce Partnership Council's '*Partnership and Managing Change*' agreement, which sets out the expectation that public sector employers will: (i) engage in "*meaningful consultation and negotiation with trade unions*" in respect of changes to working conditions; (ii) create a communication plan in which joint employer and union communication with the workforce plays a significant part ; and, (iii) commit to "*full and lasting obligation to trades union recognition*" and to advocate the benefits of trade union membership in reducing labour turnover, increasing staff moral and commitment and improving productivity.
- c. The Welsh Government's 9 September 2015 Written Statement, which states:

*"In relation to the Trade Union Bill, the first three categories of "important public services" subject to the additional 40% overall membership support threshold for industrial action are health services, education of those aged under 17, and fire services, all of which are plainly devolved. The policy background section of the explanatory notes to the Bill sets a clear context for the Bill in seeking to 'protect essential public services' against strikes, and this context is also reflected in the consultation document on ballot thresholds in "important public services". Policy on how to support, or 'protect', the delivery of devolved public services such as health, education and fire is, however, for the Welsh Government and the National Assembly for Wales. This includes the way public sector bodies in such devolved services work with trade unions to ensure effective delivery of services to the public.*

*There is an increasing divergence in approach to delivery of public services between England and Wales and it would be wrong, and potentially damaging to the UK Government's stated aim of 'protecting' public services, for decisions based on English structures and approaches to be imposed on different service delivery models in Wales. As an illustration, in relation to which specific functions and ancillary roles would be subject to the 40% threshold, it would be wholly wrong to assume that roles in a devolved public service in Wales are identical to roles in that service area in England. Similarly, it cannot be right for the UK Government – blind to policy priorities and devolved service delivery reforms in Wales – to specify how much union 'facility time' devolved public sector employers should allow. Nor am I convinced that the intention to end 'check off' arrangements for trade union subscriptions in the public sector is necessary or appropriate. The Welsh Government operates these arrangements as part of its approach to effective social partnership and is not seeking to change this."*

41. Evidence submitted to the Public Bill Committee supports the position that the Bill will undermine the Welsh Government's social partnership approach to the provision of public services in Wales. See in particular:



- a. The Welsh Local Government Association's submission <sup>10</sup> that:

*“Facility time enables councils to consult and negotiate with the trades unions officials representing the workforce, and therefore actually saves considerable time and resources”*

[...]

*“If councils had to consult with and negotiate with employees on an individual basis on all these matters the time resource required would be huge”* expressing the view that it is “essential” and “very much in the interests of council tax payers to see it maintained”.

The evidence also states that outlawing the ‘check off’ system would be contrary to its social partnership approach.

- b. The Royal College of Midwives' evidence<sup>11</sup> that:

*“the Government's proposals will fundamentally damage employment relations and make it more difficult to resolve disputes.”*

- c. The Fire Brigades Union's evidence<sup>12</sup> that the limits on facility time will undermine unions' ability to effectively protect their member's interests by negotiating on pay and condition, raising safety standards and ensuring access to skills and training. It also states that:

*“firefighters' safety will be directly threatened if this change comes into force. The FBU's Serious Accident Investigations involve hundreds of hours of work by union reps to undertake careful investigations of firefighter fatalities and other serious incidents. Lessons are also learned from the work of safety reps on injuries and near misses. This*

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<sup>10</sup> <http://www.publications.parliament.uk/pa/cm201516/cmpublic/tradeunion/memo/tub08.htm>

<sup>11</sup> <http://www.publications.parliament.uk/pa/cm201516/cmpublic/tradeunion/memo/tub07.htm>

<sup>12</sup> <http://www.publications.parliament.uk/pa/cm201516/cmpublic/tradeunion/memo/tub52.htm>

*work has been absolutely essential to UK firefighter safety over generations, making the fire and rescue service far safer for firefighters and for the public. Restricting time off for trade union reps puts this in jeopardy”.*

- d. Evidence from NHS Wales,<sup>13</sup> which explains that NHS Wales has its own agreed key principles framework for time off and facilities for trade union representatives in place which “*meets the needs of the service and supports our approach to social partnership*”.

***(ii) Analysis of the Trade Union Bill***

42. Taking those materials together, we agree with the Welsh Government’s position that clauses 3, 12, 13 and 14 of the Trade Union Bill relate to the following devolved subject matters:

- a. “education and training”;
- b. “fire and rescues services”;
- c. “health and health services”;
- d. “highways and transport”;
- e. “local government”; and
- f. “public administration”

Hereafter, these subject matters will be referred to collectively as “devolved public services”.

**Clause 3**

43. Clause 3 introduces a new 40% support requirement in ballots for industrial action in “important public services”, to be defined in regulations which may specify only services that fall within (a) health services, (b) education of those under 17, (c) fire services, (d)

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<sup>13</sup> <http://www.publications.parliament.uk/pa/cm201516/cmpublic/tradeunion/memo/tub40.htm>

transport services, (e) decommissioning of nuclear installations and management of radioactive waste and spent fuel, and (f) border security.

44. The 40% requirement will make it more difficult for industrial action to take place in “important public services”. That this is the purpose behind clause 3 is clear from the Explanatory Notes to the Bill and the Briefing Paper, both of which explain that the Bill aims to protect the provision of “important public services” by making it harder to strike. This was also the explanation given during the Bill’s second reading in the House of Commons.<sup>14</sup>

45. Four of the “important public services” listed in the Bill are devolved subject matters: (a) health services, (b) education of those under 17 (c) fire services (d) transport services. Again, whether a provision relates to a devolved subject matter is to be determined by reference to its purpose: see section 108(7). In our view, where the sole aim of a measure is to protect the provision of public services, the measure must be said to “relate to” that public service for the purposes of section 108 GOWA.

46. For those reasons, in our view the arguments that support the proposition that clause 3 relates to a devolved subject matter are strong.

### **Clauses 12, 13 and 14**

47. Further, there are strong arguments that clauses 12, 13 and 14 relate to devolved public services to the extent that they apply to devolved public sector employers and employees.

48. Clause 12 confers a power on UK Ministers to make regulations requiring public sector employers to publish information relating to time taken by trade union representatives for trade union duties and activities. The Explanatory Note to clause 12 explains that the provision is “*designed to promote transparency and public scrutiny of facility time; and to*

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<sup>14</sup> Sajid Javid, Secretary of State for Business explained: “*I also wish to highlight the additional requirement for ballots of staff in six key sectors: the health service, the fire service, border security and nuclear decommissioning—because of the obvious risks to public safety and security—and education and transport. A ballot is required because of the massive disproportionate disruption that stoppages in those areas can cause*”: Hansard 14 Sep 2015: Column 763-764.

*encourage employers to moderate the amount of money spent on facility time in light of that scrutiny”.*<sup>15</sup>

49. Clause 13 confers powers on UK Ministers to make regulations that set a percentage limit on the amount of facility time taken by relevant union officials at public sector employers and/or set a cap on the percentage of the employer’s pay bill that may be spent on facility time. The Explanatory Note to clause 13 explains that *“the reserve powers may be exercised so as to limit the paid time off taken by the employers’ trade union representatives for facility time to a percentage of the representatives’ working time”*, for example by prohibiting the employment of full-time trade union representatives. Regulations made under this clause may also modify the statutory right under section 170 of the Trade Union and Labour Relations (Consolidation) Act 1992 for trade union members to take time off for union activities, as well rights contained in contracts or collective agreements.
50. Clause 14 introduces a prohibition on public sector employers deducting trade union subscriptions from wages payable to workers, a process known as ‘check-off’.
51. The purpose behind clauses 12 and 13 is to reduce the amount of facility time made available for trade union members and trade union representatives employed in the public sector. This is clear from the Delegated Powers Memorandum published alongside the Bill which provides the following explanation for the creation of a reserve power to set a statutory cap on facility time:

*“This is a reserve power intended to be used only as a secondary measure if the primary measure (the publication requirements) do not achieve the policy aim of increasing public scrutiny of facility time and, ultimately, delivering value for money for the tax payer.*

*[...] By improving transparency through publication requirements and encouraging employers to review their existing arrangements, the expectation is that relevant public sector employers will voluntarily renegotiate facility time arrangements with their recognised trade unions. The power would therefore be kept in reserve and only used as a last resort where, having regard to information employers have published, they have consistently failed to reform practices that do not represent good value for money to the tax payer.”*<sup>16</sup>

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<sup>15</sup> Explanatory Note, paragraph 54.

<sup>16</sup> BIS, Trade Union Bill: Delegated Powers Memorandum, July 2015, page 8-9. This view was reiterated by Sajid Javid, Secretary of State for Business, during the Bill’s second reading, when he stated: *“There are nurses, teachers and other public servants being paid a salary by the taxpayer while working for their union under the banner of facility time. There is no*

52. Taking account of the explanations for clauses 12, 13 and 14 set out above, as well as the evidence of the impact that these provisions will have on devolved public services, we have concluded that these provisions “relate to” devolved public services in two ways.

53. First, the provisions will have an effect on the conditions of employment in the devolved public services:

- a. As noted above, in *In re Agricultural Sector* the Supreme Court held that the devolved subject area “agriculture” should be interpreted broadly “*as designating the industry or economic activity of agriculture in all its aspects*” [49] and that it encompassed measures affecting conditions of employment within the agricultural industry, including measures regulating agricultural wages: [54]. Applying this reasoning, measures affecting the conditions of employment in the devolved public sector fall within the Assembly’s devolved legislative competence.
- b. It is clear from the statements set out above, that clauses 12, 13 and 14 will have the effect of changing the conditions of employment in relevant public sectors: the measures may impact on the statutory and contractual rights of public sector employees to facility time, the availability of representation by trade union representatives and the procedure for subscribing to a trade union. All of the devolved public services constitute public sector employers for the purposes of clauses 12, 13, 14. We are therefore of the view that clauses 12, 13 and 14 relate to these devolved public services.

54. Second, clauses 12, 13 and 14 will affect the provision of these devolved public services:

- a. The UK Government’s aim in introducing these provisions is to restrict the amount of facility time available for public sector employees: see the Explanatory Notes to clauses 12 and 13 as well as the Delegated Powers Memorandum. That aim appears

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*transparency around how much time they spend on union work and no controls in place to ensure that the taxpayer is getting value for money*”: Hansard 14 Sep 2015 : Column 770

to be predicated on the view that public services will be delivered more effectively and efficiently if the amount of facility time available to employees is reduced.

- b. The Welsh Government and Welsh public sector employers and employees have provided evidence that restrictions on facility time and the prohibition on check-off will undermine the efficient and effective delivery of devolved public services in Wales.
- c. The UK Government's position and the Welsh Government's position both demonstrate that clauses 12, 13 and 14 will have an effect on the provision of devolved public services. Again, we express the view that measures which aim to impact on the way in which public services are provided must be said to relate to those services for the purposes of section 108 GOWA.

***(iii) Conclusions on section 108 GWA***

55. For those reasons, we think it strongly arguable that clauses 3, 12, 13 and 14 relate to (i) devolved public services for the purposes of section 108 GWA, and (ii) "industrial relations" and "employment" matters, as they clearly affect conditions under which industrial action is permitted in the UK.
56. It follows that provisions of the Bill relate to subject matters that are devolved (i.e. devolved public services) as well as to subject matters which are not devolved (industrial relations and employment).
57. The UK Government's position is that as the provisions of the Bill relate to employment and industrial relations, they are reserve matters for Scotland and Wales. This position elides the distinction between Scotland (where "employment and industrial relations" are listed as reserved matters in the Scotland Act 1998 (as amended)) and in Wales where they are currently neither expressly devolved subject matters nor exceptions.
58. As the Supreme Court found in *In re Agricultural Sector*, so long as the provisions of a bill "fairly and realistically" fall within the scope of a devolved subject matter, it does not matter that they might also be capable of being classified as relating to a subject which has not been devolved, such as employment or industrial relations: [67].

59. By ignoring the possibility that under the devolution arrangements for Wales, a legislative provision may relate to both a devolved and a non-devolved subject matter, the UK Government has fallen into error in concluding that the provisions of the Bill are not within the legislative competence of the Assembly.

**Is the Assembly's legislative consent required in relation to the Trade Union Bill?**

60. In so far as provisions of the Bill fall within the Assembly's legislative competence, the UK Government would be acting in breach of the Sewel Convention, and therefore unconstitutionally, to enact such provisions without first obtaining the consent of the Assembly.

61. That is so even where a provision relates both to (i) a devolved subject matter and (ii) a non-devolved subject matter, because the Sewel Convention requires that the Assembly's consent is obtained in relation to legislation on subjects which have been devolved to the Assembly. The test for determining whether the Sewel Convention applies is therefore whether a provision of a UK bill would be within the Assembly's competence if passed by the Assembly.

62. As above, a legislative provision which relates to both devolved and non-devolved areas falls within the Assembly's legislative competence. Therefore, consent should be obtained in relation to a provision of a UK bill that relates to both a devolved subject matter and a non-devolved subject matter.

63. Support for this position is provided by DGN 9 which states, at paragraph 11:

*“The UK Government and the Welsh Government may not necessarily take the same view about whether a proposal is devolved or non-devolved. It should be borne in mind that the boundaries between devolved and non-devolved issues are not always clear cut, especially given the wider breadth of legislative competence the Assembly now exercises and the more general descriptions of devolved subjects listed in Schedule 7. A specific proposal could appear to be both devolved and non-devolved, depending on perspective. For example, time off from work for training purposes could relate to the subject of employment, which is generally non-devolved, or to skills, which is devolved, depending on the specific nature of the proposed provision. Departments should speak to the Wales Office in the first instance if in any doubt as to whether a proposal is devolved or non-devolved, and may then wish to speak to the Welsh Government to gain a better understanding of its view”.*

64. This paragraph clearly recognises the possibility that legislative provisions can relate to both devolved and non-devolved subject matters and envisages that a UK Government department should consider whether, from the Welsh Government’s perspective, a proposal could be considered to be both devolved and non-devolved when determining whether legislative consent is required.

65. We note, however, that in the event that the UK Government does not seek the agreement of the Assembly for legislation within the legislative powers of the Assembly, UK legislation would be validly enacted and have the status of primary legislation applicable in Wales: see section 107(5) GWA, which provides that *“This Part does not affect the power of Parliament of the United Kingdom to make laws for Wales”*. It follows that, even if the Bill is enacted without obtaining the legislative consent of the Assembly, it will be valid and have effect in Wales.

66. However, the Sewel Convention carries considerable political weight, enshrining the important political settlement that protects the autonomy of the Assembly. A UK Government that proceeded in breach of that important Convention would be acting unconstitutionally.



**If the Trade Union Bill, as currently drafted, were enacted, could the Welsh Assembly enact legislation to disapply provisions of the Trade Union Bill in Wales?**

67. The Assembly has the power to legislate in relation to subject matters where the UK Parliament has already legislated. The Assembly's legislative powers will be unaffected by the enactment of the Trade Union Bill: the Assembly can legislate in relation to subjects listed in Schedule 7.

68. This means that if the Trade Union Bill is enacted, the Assembly could introduce legislation that either expressly or impliedly repeals the Bill. This is made clear by section 108(1) GWA which provides that within its area of competence the Assembly can make any provision which could be made by an Act of the Parliament.

69. It is also possible for the Assembly to amend acts of Parliament, without requiring the consent of the UK Government or Parliament, so long as the amendment falls within the Assembly's legislative competence. On this see DGN 9 which states at paragraph 61 that:

*"The Assembly cannot legislate about subjects outside its legislative competence - i.e. subjects which are non-devolved. Assembly Bills can relate only to Wales and fall within the ambit of the devolved subjects listed in Schedule 7. Whether a provision relates to a subject is determined by applying the purpose test, summarised in paragraph 9 of this guidance. However, Departments should also be alive to the fact that Assembly Acts can amend Acts of Parliament without the consent of the UK Government or Parliament. Indeed, GoWA provides that within its area of competence the Assembly can make any provision that could be made in a parliamentary Act. It is expected that the Welsh Government would consult Departments in cases where such provision could have potentially significant effects as early as practicable in the legislative process."*

70. In summary, if the Bill is enacted, there is nothing to prevent the Welsh Government and Assembly from enacting legislation that disapplies, in full or in part, the legislation in Wales. The UK Government could, however, refer such a Bill to the Supreme Court pursuant to section 112(1) GWA for determination as to whether it falls within the legislative competence of the Assembly. This could have a significant delaying impact on any such legislation.

## CONCLUSIONS

71. In summary, in our view it is strongly arguable that clauses 3, 12, 13 and 14 of the Bill relate to the following devolved subject matters: “education and training”; “fire and rescues services”; “health and health services”; “highways and transport”; “local government”; and “public administration”.
72. Further, the Bill relates to “industrial relations” and “employment”, matters which are neither devolved subjects nor specified exceptions to devolved subjects.
73. In these circumstances, the UK Government’s conclusion that the Bill’s provisions are not within the legislative competence of the Assembly is flawed; a legislative provision may relate to *both* a devolved and a non-devolved subject matter: see *In re Agricultural Sector*.
74. In so far as the Bill’s provisions fall within the Assembly’s legislative competence, enacting it without the Assembly’s consent would be a breach of the Sewel Convention. However, the Sewel Convention is not legally enforceable; legislation enacted without prior consent of the Assembly is valid and will have effect in Wales.
75. If the Bill is enacted, there is nothing to prevent the Welsh Government and Assembly from enacting legislation that disapplies, in full or in part, the effect of the Bill in Wales, so long as that legislation relates to a devolved subject matter.

**Hefin Rees QC**  
**Catherine Dobson**  
**39 Essex Chambers**  
**1 December 2015**



Llywodraeth Cymru  
Welsh Government

[www.cymru.gov.uk](http://www.cymru.gov.uk)

# Workforce Partnership Council: Partnership and Managing Change (2012)



*Partnership and Managing Change* is an Agreement of the Workforce Partnership Council and will be annually reviewed by the Council to ensure it is up-to-date, remains fit for purpose and is operating effectively.

It is an Agreement regarding the process by which the social partners (employers and trades unions) work in partnership to manage change as a fundamental part of how we improve the delivery of public services in Wales.

It captures the ethos of the Welsh Government's *Working Together for Wales – A Strategic Framework for the Public Service Workforce in Wales*, agreed by Cabinet in March 2012, as part of how we establish One Public Service in Wales.

## Shared Vision

The vision for Public Services in Wales is shared by Social Partners and can be summarised as public services which are:

- efficient, effective and delivered through collaboration;
- world class and exemplars of outstanding small country governance;
- designed with citizens at the centre and promote social justice and equality;
- provided by model employers and regarded as offering first choice careers; and
- delivered by a well-trained, well-rewarded and an engaged and motivated workforce.

The Public Service transformation agenda has evolved considerably over recent years from Making the Connections through Beecham, to Simpson and sharing services. This Agreement reflects these developments and provides the overarching framework for contemporary practice and progress across sectors including:

- the *Memorandum of Understanding 2011* (between Trade Unions and the Welsh Local Government Association (WLGA));
- *Working Differently - Working Together A Workforce and Organisation Development Framework 2012* (NHS); and
- *Managing Change in Partnership 2011* (Joint Council for Wales).

## Principles

High quality public services delivery must be synonymous with high quality employment practice. The Social Partners agree to adopt principles of good practice on service improvement, delivery and employment to underpin this Agreement.

Social Partners are committed to a set of principles directly relevant to managing change. At the same time nothing in this Agreement will formally substitute for, replace, or otherwise take precedence over established collective bargaining arrangements between Trade Unions and employers.

1. The partnership approach is between the Welsh public sector employers and recognised trades unions represented within the Workforce Partnership Council structures.
2. All Social Partners will use best endeavours to ensure employment continuity. Change can be very unsettling for staff. Social Partners agree that employment continuity is an important element of the change process. However, change need not be seen to be a barrier to employment continuity and can generate opportunities for the achievement of potential through rewarding, renewed and refreshed careers.
3. The Social Partners will support the use of the best standards of employment practice, such as systematic workforce planning, to manage deficits and surpluses in a planned way as we shape the future delivery of services.
4. Public service organisations embarking on change, which impacts on the workforce, will consult Trade Unions at the earliest appropriate opportunity and before any irreversible decisions are made. Any change should be properly planned and delivered through partnership. It is accepted that external factors may on occasion dictate the speed of the process but it is crucial that full consultation and negotiation amongst the social partners is followed in an open and timely manner with the aim of reaching mutual agreement. Equally it is crucial that this process is not constrained by either partner. High quality public services delivery must be synonymous with high quality employment practice. The Social Partners agree to adopt principles of good practice on service improvement, delivery and employment to underpin this Agreement.

## Our way of working

Social Partners are committed to work in the following areas.

### 1. Workforce Engagement

The Social Partners are committed to supporting the Welsh Government's Strategic Workforce Framework which underpins the ambition that Public Services in Wales should be provided by exemplar employers.

In delivering their commitment the social partners will jointly:

- consult, negotiate and, in good faith, commit every effort to achieving agreement over proposed changes
- subsequently communicate any agreed change process to all stakeholders including the workforce.

### 2. Workforce Learning

Lifelong learning is central to securing the progressive improvement in public services which we seek. It is recognised that initiatives such as the "Wales Union Learning Fund" and partnership training continue to make a significant contribution to workplace change. It is essential that employers and trade unions at all levels fully engage in the partnership process.

### 3. Career Development

The development of careers that add value to the outcomes they achieve for Welsh communities and the Welsh economy is a key part of the public service policy agenda. The work undertaken by Welsh Government and others on secondments, management and leadership training is critical to this.

### 4. Equality and Well-being

The Social Partners support the implementation of equality-proofed pay and grading systems within the public service. The need for ongoing work to track and reflect legislative policy changes is recognised. There is commitment to develop good practice in partnership with the Equality community. The need to develop social justice in work, including equal pay, health and well-being issues, an ageing workforce, work life balance, and flexibility is recognised.

## The Process

The following expectations will need to be fully met in order to implement this partnership agreement:

1. We expect employers and trade unions to agree a **Policy Statement** at the outset regarding managing change. The statement should include a clear vision supported by both parties which emphasises a corporate approach to managing change. It is the aim of the Social Partners that a culture of shared objectives and joint ownership of problem solving will become commonplace throughout.
2. We expect Social Partners to adopt early **planning** of change with clear and realistic timescales. It is essential that due process is followed which allows for all parties to properly consider and shape any proposals which may be under consideration.
3. We expect meaningful **consultation** and negotiation with Trade Unions to be mainstreamed into the change process. Cross sector and cross organisational working may lead to complex lines of accountability and particular attention should be paid to operating in a collaborative context. Employers and Trade Unions should seek to ensure the process is integrated and seamless.
4. We expect **communication** with all stakeholders, including the workforce, to be a key component of any change process. Social Partners should agree a communication plan in advance of any change process. In a properly functioning partnership joint employer and union communication with the workforce will play a significant part.
5. We expect Social Partners to facilitate and encourage **training** both in partnership working and change management to underpin the process. The training should include knowledge and application of this Agreement and any locally agreed arrangements.
6. We expect employers to commit to a full and lasting obligation to trades union recognition. In this setting, social partners will advocate **the benefits of trade union membership**, not least in assisting to help reduce labour turnover, increase staff morale and commitment, and improve productivity. This will involve local arrangements to facilitate and encourage trades union membership throughout the workforce



## Disputes

This is a formal agreement of the Workforce Partnership Council which will be annually reviewed.

Any disputes relating to the terms of the agreement or its implementation should be taken through the appropriate joint sector disputes resolution procedure. In case of failure to resolve matters at this level, disputes may then be taken to the Joint Secretariat of the Workforce Partnership Council made up of the employer side secretary and the trades union side secretary.



# Eitem 8.1

Y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau/  
Equality, Local Government and Communities Committee  
ELGC(5)-06-17 Papur / Paper 7

Sophie Howe  
Comisiynydd Cenedlaethau'r Dyfodol Cymru  
Siambrau'r Farchnad  
Market Chambers  
5-7 Heol Eglwys Fair  
Caerdydd  
CF10 1AT

10 Chwefror 2016

## Sesiwn dystiolaeth gyda'r Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau – 2 Chwefror 2017

Annwyl Sophie

Diolch i chi am gytuno i ddod i gyfarfod y Pwyllgor ar 2 Chwefror i roi tystiolaeth ar eich rôl a'ch cylch gwaith. Hoffai'r Pwyllgor nodi bod y sesiwn yn ddiddorol a defnyddiol iawn.

Yn dilyn y cyfarfod, cytunodd y Pwyllgor y dylwn ysgrifennu atoch ynghylch sawl mater na chafwyd cyfle i'w trafod yn ystod y sesiwn, ac i ofyn am ragor o wybodaeth am rai o'r materion a drafodwyd.

Yn deillio o'r trafodaethau yn y Pwyllgor, mae gennym ddiddordeb arbennig mewn rhagor o wybodaeth am y materion a ganlyn:

1. Y pum maes yr ydych yn credu y dylai Llywodraeth Cymru roi blaenoriaeth iddynt a chynnig mwy o arweiniad arnynt;
2. Pryd ydych yn bwriadu cyhoeddi'r fframwaith ar gyfer Byrddau Gwasanaethau Cyhoeddus;



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3. Pa Fwrdd Gwasanaethau Cyhoeddus sy'n ymgymryd â'r ymarfer mapio ystadau;
4. I ba raddau y byddwch yn ymgysylltu â phenderfyniadau cynllunio lleol ac yn benodol ag unrhyw Gynlluniau Datblygu Lleol yr ydych yn credu y gallent fod yn groes i egwyddor datblygu cynaliadwy; a
5. Pha gamau yr ydych yn credu bod angen eu cymryd i symud tuag at ffordd fwy ataliol o weithio, yn enwedig mewn perthynas â'r sectorau hynny lle mae hyn yn her benodol, fel y sector iechyd a'r sector gofal cymdeithasol.

Y materion nad oedd gennym amser i'w trafod yn ein sesiwn, a byddem yn croesawu rhagor o wybodaeth amdanynt, yw'r rhai a ganlyn:

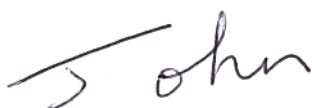
1. A ydych yn cymryd rhan yn y gwaith o ddatblygu pedair strategaeth drawsbynciol Llywodraeth Cymru ac a ydych wedi gwneud unrhyw asesiad ynghylch a ydynt yn cael eu datblygu yn unol ag egwyddorion datblygu cynaliadwy?;
2. A ydych wedi cael unrhyw adborth gan gyrff cyhoeddus, Byrddau Gwasanaethau Cyhoeddus neu gynghorau cymuned ynglŷn â defnyddioldeb y canllawiau statudol i'w helpu i gyflawni eu rhwymedigaethau o dan y Ddeddf?;
3. Sut y mae'r gyrff cyhoeddus yn symud ymlaen wrth ddatblygu eu hamcanion llesiant?;
4. Sut y mae Byrddau Gwasanaethau Cyhoeddus yn symud ymlaen o ran y materion a ganlyn: 1) gwneud asesiadau llesiant; 2) pennu amcanion llesiant; a 3) datblygu cynlluniau llesiant lleol?;
5. Yn ychwanegol at y gwasanaeth heddlu, a oes unrhyw sefydliad arall nad yw'n "gorff cyhoeddus" wedi mynegi diddordeb mewn cymhwyso egwyddorion y Ddeddf?;
6. A allwch chi roi rhagor o wybodaeth am y rhaglen waith gyda'r Comisiynydd Plant, sef "Mewnosod Hawliau Plant ar gyfer Cenedlaethau'r Dyfodol"?



7. O ystyried bod gan swyddfa'r Comisiynydd ddyletswydd i gynghori ar newid yn yr hinsawdd, a allwch roi manylion am y gwaith sydd wedi'i wneud hyd yma yn y maes hwn gyda chyrrff cyhoeddus a Llywodraeth Cymru?;
8. A allwch roi enghreifftiau o sut mae cyrrff cyhoeddus wedi gwella eu gwaith ymgysylltu â dinasyddion ers gweithredu'r Ddeddf?;
9. Yn dilyn yr argymhelliad gan Bwyllgor yr Economi, Seilwaith a Sgiliau, a ydych o'r farn y dylid cynnwys y Comisiwn Seilwaith Cenedlaethol arfaethedig fel corff cyhoeddus o dan y Ddeddf?;
10. Pryd ydych chi'n disgwyl i Lywodraeth Cymru gyhoeddi ei cherrig milltir (i gyd-fynd â'r dangosyddion cenedlaethol)?;
11. A oes tystiolaeth o newid ar lefel Llywodraeth Cymru yn sgil gweithredu'r Ddeddf, gan gynnwys enghreifftiau y gallwch eu rhoi?;
12. Sut yr ydych yn ystyried rôl y Cynulliad o ran olrhain y cynnydd o dan y Ddeddf a chraffu ar y cynnydd hwn?

Hoffai'r Pwyllgor eich gwahodd i sesiwn graffu flynyddol fel y gallwn barhau i fonitro'r broses o weithredu Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru). Rydym yn debygol o sicrhau bod hyn yn cyd-fynd â'ch cylch adrodd blynyddol.

Yn gywir



John Griffiths AC  
Cadeirydd





**Deighton Pierce Glynn Solicitors' statement to the Equality, Local Government and Communities Committee of the National Assembly for Wales for its inquiry on refugee and asylum seekers in Wales: On the standard of housing provision from Clearsprings Ready Home Ltd.**

**8 February 2017**

Dear Madam/Sir

Deighton Pierce Glynn Solicitors is a law firm that specialises in representing claimants in human rights and public law litigation. The firm has been instructed by a large number of asylum seekers to assist them with asylum support and accommodation matters in both England and Wales. A substantial number of our clients are accommodated by Clearsprings Ready Homes Ltd (CRH) and we therefore have extensive experience of dealing with the company specifically on issues concerning the standard of housing provision by the company.

We have raised concerns directly with CRH and the Home Office on a number of occasions regarding the standard, adequacy and suitability of accommodation provided to our clients. Though most of our clients are based in England many are also based in Wales.

We frequently receive complaints from our clients regarding the poor level of service and accommodation provided by CRH, in fact many of our clients instruct us specifically to assist them with dealing with failures by CRH and the Home Office in their various legal duties towards our clients regarding the provision of adequate accommodation. This is especially true with regard to their failure to make adequate provisions for particularly vulnerable groups of people, such as children and those suffering from either physical illness or severe mental health problems. These cases give rise to serious concerns that the Home Office and CRH are failing in their legal duties under the Equality Act, the Immigration and Asylum Act 1999, the Asylum Seekers (Reception Conditions) Regulations 2005, European Council Directive 2003/9/EC, and the European Convention on Human Rights.

We have drafted many letters and brought a number of proceedings on behalf of our clients either against the Home Office, CRH or both with regard to these failures.

Below are a number of examples of issues that our clients have experienced while being provided with accommodation by CRH in Wales. We are constricted by time and resources and have not been able to carry out an extensive survey within the firm or look into the below cases in great detail and also have a duty of confidentiality towards our clients and need to ensure that we do not reveal any information that could reveal their identity. However, we have picked four recent examples which we hope will assist paint a picture of the type of experiences that asylum seekers accommodated by CRH have. We also have many other similar examples from clients accommodated by CRH in England.

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## 1. Case 1

This client has been victimised by CRH after he raised a number of concerns regarding the standard of accommodation that he was provided. This included a mice/rat infestation; broken heating; people smoking inside the property; and leaking water which had adversely affected his health.

He was made to share a room with men that smoked which affected his own health conditions. Clearsprings and the Home Office had been made aware of his health problems on a number of occasions.

He was later provided with initial accommodation and Cardiff which was not adequate or suitable for our client, because he had been made to share a room with a number of other men that smoked and there were a number of cultural differences which made it unsuitable for these men to be accommodated in a room together. These included issues surrounding the other men's worshipping/praying needs and patterns, different bathing practices (e.g use of toilet paper v use of water)

Our client was then offered dispersal accommodation in a shared room with another man. He was told that this would be the permanent situation until his asylum application was processed. The person he was being asked to share with smoked in the room which would adversely affect our client's health problems. The same cultural differences applied as above.

Our client refused the accommodation and returned to the initial accommodation, where he was threatened by the management that they would call the police on him.

CRH then arranged for him to be taken back to the same accommodation a few days later despite him clearly setting out the reasons why it was unsuitable for him to share a room with the person in question.

The manager at the initial accommodation threatened our client that if he did not go the accommodation that he would call the police and have him arrested. Only after seeking advice from the local council and presenting it to Clearsprings that he should not be forced to share a room did they agree to not disperse him to a shared room.

About a month later our client saw that he was to be dispersed to another accommodation much further away and was assured by the manager that he would be getting a room to himself. Upon arriving at the accommodation it appeared that he has been lied to and he was told that he would be sharing with someone else and it transpired that this man also smoked in the room and was again from the different cultural and religious background that caused all the same issues as detailed above. Our client explained that he could not take the room because of the same reasons outlined above.

Furthermore, our client is a victim of torture and suffers from post-traumatic stress disorder, suicidal ideation, flashbacks, disturbed sleep, nightmares, depression and anxiety. CRH and the Home Office have been made aware of this and should understand that housing a person suffering from such ill health in a shared room on a permanent basis is not appropriate and that they have a legal duty to provide such persons with adequate and suitable accommodation making reasonable adjustments where necessary.

Our client has since been destitute and street homeless.

We understand that since being accommodated at the initial accommodation that he has been targeted and victimised by Clearsprings staff and management who seemed to have a predetermined attitude and view of our client when he arrived.

For instance, we understand that one day he was in the television room watching the news by himself while some other residents talked amongst themselves. He was then asked to leave the room by one of the initial accommodation staff for no reason. When he asked the member of staff whether everyone had to leave or just him, he was told that it was just him. He asked for a reason why he should leave the room, however the member of staff could not give any reason and instead called the police. The police came. Another resident present, confirmed to the police that our client had not done anything wrong and was just watching the TV. Our client asked the police to look at the CCTV footage. The police then agreed with our client that there was no reason to ask him to leave the room by himself and stated that there had been no breach of the peace and left without giving the Claimant a warning or a caution.

As explained above, this pattern of victimisation and threats to call the police has been repeated on a number of occasions by Clearsprings' staff and management, while the Claimant has been living in accommodation run by Clearsprings.

## 2. Case 2

Our client was a victim of torture suffering from severe mental health problems.

While in the UK and being provided with accommodation by Clearsprings he experienced psychotic episodes, engaged in fights with fellow residents in his dispersal accommodation and threatened fellow residents including with blades. Both Clearsprings and the Home Office were made aware of this on several occasions, however continued to house him in accommodation with other people which was not suitable for his needs as someone suffering from severe mental health problems, psychotic episodes and trauma.

The client was eventually arrested and later detained under mental health powers for a prolonged period of time. He was then released from a secure psychiatric ward to initial accommodation in Cardiff. He was required to share a room with other people despite his fragile mental state. Given his psychotic history, this was a danger to both him and other residents.

We alerted the Home Office and Clearsprings that the accommodation at the initial accommodation was not suitable for our client and that they had a legal duty to provide him with adequate accommodation and make reasonable adjustments for his disability. We alerted them of the deterioration in the state of our client's mental health. We observed his health deteriorate, it seems largely due to the unsuitable conditions that he was being made to live in. The Home Office and CRH were also provided with a discharge note from the hospital clearly stating that he should not be accommodated in conditions such as those in the initial accommodation (i.e. sharing with other people and certainly not in cramped spaces with a large number of people.) and that this would likely be stressful for our client which could cause a deterioration in his mental health.

Despite this, the Home Office and Clearsprings failed to provide him with suitable accommodation as was their legal duty to do. Our client eventually experienced a further psychotic episode and a number



of months ago was detained again under mental health powers and is currently still very unwell and still being detained on a secure psychiatric hospital unit.

### 3. Case 3

Our client came to the UK after fleeing her country of origin with her husband, children and with her brother's family consisting of him, his wife and children. On the journey here, her husband, her brother, her brother's wife and one of her brother's children died. Her brother's children were orphaned and she was left to care for them.

She is extremely traumatised from these experiences and suffers from blackouts, seizures, flashbacks and nightmares. All the children are also traumatised from what they have experienced. She and her children therefore largely rely on her other brother for support who was already based in a town in Wales and has British citizenship.

However, when she arrived in the UK she was separated from her deceased brother's children and also placed far away from her brother already based in Wales. After being referred to our firm she was moved to accommodation provided by Cleasprings in a city about an hour away from her brother. She reported to us that the accommodation that she was provided with had issues, such as broken radiators and no heating.

During this time that she was separated from her brother her mental health deteriorated and this also placed many stresses on her children. She was eventually placed close to her brother after we placed pressure on the Home Office and threatened them with proceedings.

### 4. Case 4

Our client was dispersed to accommodation in Cardiff. On arriving at the accommodation he stated that the back garden was filled with a huge mound of rubbish and rubbish bags.

### Conclusion

We are very concerned with the standard of housing provided to asylum seekers in Wales by CRH. Our clients frequently report issues regarding their accommodation, so much so we believe that there are systemic failings by both CRH and the Home Office in the provision of accommodation to asylum seekers in both Wales and England. There seems to be a regular failure to have regard to their wider legal duties, especially to particularly vulnerable groups.

We have also been made aware by the Home Office and Migrant Help that it is now common practice to house asylum seekers on a permanent basis in shared rooms. We believe that this raises a number of serious issues and in many, if not all cases, that requiring adults to share a room on a permanent basis is unlawful.

Yours faithfully



**Deighton Pierce Glynn**